(30) Priority Data:

## WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: WO 96/30403 (11) International Publication Number: C07K 14/00, C07H 21/00, A61K 38/00, A1 (43) International Publication Date: 48/00

US

3 October 1996 (03.10.96)

PCT/US96/04240 (21) International Application Number:

27 March 1996 (27.03.96) (22) International Filing Date:

27 March 1995 (27.03.95) 08/411,295

(71) Applicant: CAMBRIDGE NEUROSCIENCE, INC. [US/US]; Building 700, One Kendall Square, Cambridge, MA 02139

(71)(72) Applicants and Inventors: REH, Thomas, A. [US/US]; 2339 Federal Avenue East, Seattle, WA 98102 (US). MAR-CHIONNI, Mark, A. [US/US]; 24 Twin Circle Drive, Arlington, MA 02174 (US). MCCABE, Kathryn, L. [US/US]; 3644 Francis Avenue North #2, Seattle, WA 98103 (US). BERMINGHAM-MCDONOGH, Olivia [US/IE]; 99 Summer Street #1, Watertown, WA 02172 (US). MAHAN-THAPPA, Nagesh, K. [US/US]; 240 Norfolk Street, Cambridge, MA 02139 (US). GWYNNE, David, I. [US/US]; 77 Grover Street, Beverly, MA 01915 (US).

(74) Agent: BUTLER, Gregory, B.; Cambridge NeuroScience, Inc., Building 700, One Kendall Square, Cambridge, MA 02139 (US).

(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, LR, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

**Published** 

With international search report.

(54) Title: METHODS OF TREATING DISORDERS OF THE EYE

(57) Abstract

The present invention relates to methods for the prophylaxis or treatment of retinal cells by the administration of a therapeutically effective amount of a neuregulin polypeptide.

<sup>• (</sup>Referred to in PCT Gazette No. 53/1996, Section II)

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Аттепіа	GB	United Kingdom	MW	Malawi
AΤ	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	kaly	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
СН	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DĒ	Germany	LV	Latvia	TJ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	ÜA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam



# METHODS OF TREATING DISORDERS OF THE EYE

### **GOVERNMENT SUPPORT**

This invention was made with the support of a federal grant from the U.S. Government (Grant No. 5ROINS28308-06). The Government has certain rights in the invention.

### FIELD OF THE INVENTION

This invention relates to methods of affecting retinal cell function.

### BACKGROUND OF THE INVENTION

15

20

10

5

The invention relates to prophylactic or affirmative treatment of diseases and disorders of retina and associated tissues of the eye by administering polypeptides found in vertebrate species, which polypeptides are growth, differentiation and survival factors for several cell types. Normal function of retinal cells including survival, proliferation, differentiation, and maintenance is dependent upon the controlled expression of a variety of peptide growth factors. Some of these factors can be produced by neuronal cells and by other cells of the retina, which provide a signal to regulate retinal cell function.

### Anatomy and Function of the Retina

25

The retina is that component of the visual system which senses light and transmits impulses via the optic nerve to the visual cortex where the signals are deciphered and interpreted as images. The retina is comprised of a series of layers and cell types as illustrated in Figure 1.

30

The basic function of the retina is to transduce the visual image into a pattern of electrical potential changes that can be processed by the visual centers in the brain. The changes in electrical potentials in the retinal cells are then relayed to the brain. The structure of the retina reflects these functions (Figure 1). The cells of the retina are arrayed in three layers: (1) the outer nuclear layer, which contains the photoreceptor cells; (2) the inner nuclear layer, which contains the cell nuclei of most of the retinal interneurons and glia; and (3) the ganglion cell layer, which contains the cell bodies of the cells that relay the visual information to the brain via the optic nerve. In addition to these nuclear layers, there are three other distinct layers in the retina. The outermost layer is composed of the outer



3DOCID: <WO\_\_\_9630403A1\_IA>

segments of the photoreceptor cells; this is where the actual process of light-to-electrical signal transduction take place. The outer plexiform layer lies between the outer and inner nuclear layers. It is made up of synapses between the terminals of the photoreceptors and the dendrites of the retinal interneurons of the inner nuclear layer. The inner plexiform layer lies between the inner nuclear layer and the ganglion cell layer. This layer is where the interneurons of the inner nuclear layer synapse with the retinal ganglion cell dendrites.

The retina is composed of five classes of neurons, and two classes of supporting cells (*Principles of Neural Science*, 3rd ed., Ed. by E.R. Kandel, J. H. Schwartz, and T. M. Jessell, Elsevier, New York, NY 1991). Of the neuronal types, the receptor cells are the cells that transduce light into electrical signals. Receptor cells are of two subtypes: cones-which mediate form and color perception in daylight, and rods - which mediate form perception in dim light. Ganglion cells of the retina project axons into the brain via the optic nerve and are the output cells of the retina. The remaining neuronal types are interneurons that modulate retinal output: bipolar cells connect receptor cells to ganglion cells; horizontal cells mediate lateral interactions between receptors and bipolar cells; and amacrine cells mediate lateral interactions between bipolar cells and ganglion cells. The supporting cell types are the glial cells of the retina, Müller cells, and the pigment epithelium cells. The latter cell type plays an important role in the maintenance of receptor cells.

20

25

5

10

15

The basic flow of information through the retina is as follows (Refer to Figure 1): (1) light passes through the cells of the retina and is absorbed by the outer segments of the photoreceptor cells; (2) the photons are transduced into potential changes in the photoreceptor cells; (3) this change in potential is relayed to one type of retinal interneuron in the inner nuclear layer, the bipolar cell, via synapses in the outer plexiform layer; (4) the bipolar cells relay the electrical potential changes to the ganglion cells through their synapses in the inner plexiform layer; and (5) the ganglion cells convert the potential changes into action potentials that are sent along the optic nerve to the brain. This process results in a pattern of action potentials in the optic nerves that reflects the pattern of light and dark in the visual world. Some initial processing of the visual information takes place in the retina before it is relayed to the other visual areas in the brain.

Proper development and maintenance of the retina is necessary for sustaining normal vision. Degeneration of components of the retina can lead to partial or total blindness.

35

### **Peptide Growth Factors**

5

10

15

25

30

35

The development and physiology of multicellular organisms requires multiple modes of intercellular communication. Such communication may be systemic, as in the case of hormones delivered via the bloodstream, or can be highly localized. In the latter case two modes are commonly recognized: synaptic signaling from neurons, and paracrine signaling from adjacent or nearby cells (*Molecular Biology of the Cell*. Alberts et al., 2nd ed. Garland Publishing, New York, NY 1989). A function of such signaling is to coordinate cell survival, proliferation, differentiation, and/or metabolic activity. The molecules that serve as transmitted signals vary in their chemical composition; one group of molecules are proteins, the peptide growth factors. Peptide growth factors act upon cells by binding to cell surface receptors. These receptors are coupled to intracellular signal transduction pathways that give rise to the above described activities when activated by growth factor binding. The genesis and differentiation of the varied retinal cell types and the generation of distinct layers in the retina from progenitor cells of the optic cup are the result of developmental events that are mediated by intercellular communication involving peptide growth factors.

# Peptide Growth Factors in the retina

The roles of growth factors in the development and maintenance of the retina have been studied in cell culture, by molecular analysis of the expressed growth factors and their receptors, and in animal models of disease or injury.

As an example of *in vitro* studies, explants and partially-dissociated chick retinal pigmented epithelium (RPE) can trans-differentiate into neural retina in the presence of bFGF (Coulombre and Coulombre, *Dev. Biol.* 12:79, 1965). Proliferation of dissociated RPE cells is stimulated by  $\alpha$ FGF,  $\alpha$ FGF, EGF, PDGF, IGF, and insulin; and it is inhibited by TGF $\alpha$  (Sternfeld et al., *Curr. Eye Res.* 8: 1029, 1989; Leschey et al., *Invest. Ophthalmol. Vis. Sci.* 31: 839, 1990; Song and Lui, *J. Cell Physiol.* 143:196, 1990). Cultured RPE cells are induced by cytokines to release nitric oxide, which is cytotoxic--and the induction can be blocked by FGF (Goureau et al., *Biochem. Biophys Res. Comm.* 186:854, 1992; op. cit., 198: 120, 1994). Further, retinal explants from the *rd* mouse are rescued from cell death by combined treatment with NGF and bFGF (Caffe et al., *Curr. Eye Res.* 12:719, 1993).

The presence of growth factor receptors in retinal cells has been demonstrated by a variety of molecular analytical techniques, including immunostaining, in situ hybridization and tissue binding using radio-labeled ligands. Cells in the RPE express FGF receptors

(Malecaze et al., J. Cell Physiol. 154: 1105, 1993). Ganglion cells and Müller cells express receptors for BDNF, CNTF, FGF, trkA and trkB (Jelsma et al., J. Neurobiol. 24:1207, 1993; Takahashi et al., Neurosci. Lett. 151:174, 1993; Carmignoto et al., Exp. Neurol. 111:302 191; reviewed in Steinberg, Curr. Opin. Neurobiol. 4:515, 1994). Müller cells also express PDGF receptors (Mudhar et al., Development 118: 539, 1993). Receptors for IGF are detected on photoreceptor cells (Waldbillig et al., Exp. Eve Res. 47:587 1988; Ocrant et al., Exp. Eve Res. 52:581, 1991), and depending on the species and developmental stage that are analyzed receptors for bFDF have been localized on several cell types, including retinal ganglion cells (Sternfeld et al., Curr. Eye Res. 8:1029, 1992; Schweigerer et al., Biochem Biophys. Res. Comm. 143:934, 1987).

Studies on retinal ganglion cell survival in vivo in animal models of optic nerve axotomy and retinal ischemia have demonstrated effects due to FGF (Sievers et al., Neurosci. Lett. 76:157, 1987), NGF (Carmignoto et al., J. Neurosci. 2:1263, 1989), CNTF (Mey and Thanos, Brain Res. 602:304, 1993), BDNF (Mansour-Robaey et al., PNAS USA 91:1632, 1994; Mey and Thanos, Brain Res. 602:304, 1993), NT4/5 (Cohen et al., J. Neurobiol. 25:953, 1994) and bFGF (Ferguson et al., J. Neurosci. 10:2176, 1990). Some undesirable retinal complications, including macrophage proliferation, inflammation, disorganization of retinal structure and angiogenesis are associated with treatment of the retina with several of the above factors.

### **Neuregulins**

5

10

15

20

25

30

35

A recently described family of growth factors, the neuregulins (reviewed by Mudge, Curr. Biol. 3:361, 1993; Peles and Yarden, Bioessays 15:815, 1993), are synthesized by neurons (Marchionni et al. Nature 362:313, 1993) and by mesenchymal cells from several parenchymal organs (Meyer and Birchmeier, PNAS 91:1064, 1994). The neuregulins and related factors that bind p185erbB2 have been purified, cloned and expressed (Benveniste et al. PNAS, 82:3930, 1985; Kimura et al., Nature 348:257, 1990; Davis and Stroobant, J. Cell Biol. 110:1353, 1990; Wen et al., Cell 69:559, 1992; Yarden and Ullrich, Ann. Rev. Biochem. 57:443, 1988; Dobashi et al., Proc. Natl. Acad. Sci. 88:8582, 1991; Lupu et al., Proc. Natl. Acad. Sci. 89:2287, 1992; Wen et al., Mol. Cell. Biol. 14:1909, 1994). Recombinant neuregulins have been shown to be mitogenic for peripheral glia (Marchionni et al., Nature 362:313, 1993) and have been shown to influence the formation of the neuromuscular junction (Falls et al., Cell 72:801, 1993; Jo et al., Nature 373: 158, 1995; Chu et al., Cell 14: 329, 1995).

The neuregulin gene consists of at least thirteen exons. The neuregulin transcripts are alternatively spliced and these encode many distinct peptide growth factors, which are referred to as the neuregulins (Marchionni et al., Nature 362:313, 1993). DNA sequence comparisons revealed that neu differentiation factor (NDF) (Wen et al., Cell 69:559, 1992) and heregulins (Holmes et al., Science 256:1205, 1992), which were purified as ligands of the p185erbB2 (also known as neu or HER2) receptor tyrosine kinase, also are splicing variants of the neuregulin gene. The acetylcholine receptor inducing activity (ARIA) also is a product of the neuregulin gene (Falls et al., Cell 72:801, 1993). Common structural features of the neuregulins are the presence of a single immunoglobulin-like (Ig) fold and a single epidermal growth factor-like (EGF) domain.

The sites of neuregulin gene expression have been characterized by use of nucleic acid probes to analyze RNA samples by a variety of methods, such as Northern blotting, RNase protection, or *in situ* hybridization. Transcripts have been detected in the nervous system and in a variety of other tissues (Holmes et al., *Science* 256:1205, 1992 Wen et al., *Cell* 69:559, 1992; Meyer and Birchmeier, *PNAS* 91:1064, 1994). Sites of gene expression have been localized in the brain and spinal chord and in other tissues. (Orr-Urteger et al., *PNAS* 90:1867, 1993; Falls et al., *Cell* 72:801, 1993; Marchionni et al., *Nature* 362:313, 1993; Meyer and Birchmeier, *PNAS* 91:1064, 1994; Chen et al., *J. Comp. Neurol.* 349; 389, 1994; Corfas et al., *Neuron* 14:103, 1995). Specifically in the retinal neurepithelium, expression of neuregulin transcripts has been detected at embryonic day 18 in rat (Meyer and Birchmeier, *PNAS* 91:1064, 1994).

Although a large number of neuregulins may be produced by alternative splicing, they can be broadly sorted into the putative membrane-bound and the soluble isoforms. The former contains a putative trans-membrane domain and may be presented at the cell surface. Membrane-anchored peptide growth factors may mediate cell-cell interactions through cell-adhesion or juxtacrine mechanisms (reviewed by Massagué and Pandiella, Ann. Rev. Biochem. 62:515, 1993). Alternatively, the putative membrane-bound isoforms may be cleaved from the cell surface and function as soluble proteins (Wen et al., Cell 69:559, 1992; Falls et al., Cell 72:801, 1993). The soluble neuregulin isoforms contain sequence corresponding to the extracellular domains of the putative membrane-bound isoforms, but terminate before the transmembrane domain. These neuregulin isoforms may be secreted, and hence could affect cells at a distance; or they may be present in the cytoplasm, but could be released upon cellular injury. In the latter case, neuregulins may function as injury factors, as has been postulated for the ciliary neurotrophic factor (Stöckli et al., Nature

342:920, 1989). Any one of these modes of action of the neuregulins may occur in the retina.

Cellular targets of peptide growth factors are those which bear receptors for the factor(s) and those that are shown to respond in a bioassay either in vitro or in vivo. Based on studies demonstrating phosphorylation on tyrosine residues or cross-linking experiments, neuregulins are candidate ligands for the receptor tyrosine kinases p185erbB2 (or HER-2 in human), p185erbB3 (HER-3 in human), p185erbB4 (or HER-4 in human) or related members of the EGFR gene family. Collectively, these receptors can be referred to as erbB receptors. Though the precise ligand-receptor relationship of each neuregulin protein with each member of the EGFR family is yet to be clarified, several lines of evidence suggest that binding of ligands is mediated by either erbB3 and erbB4, but signaling occurs through either erbB2, erbB4 and heterodimers of the various subunits (e.g., Carraway and Cantley, Cell 78:5, 1994). These receptors are known to be present on Schwann cells and muscle cells (Jo et al., Nature 373: 158, 1995), and other neuregulin targets, such as cell lines derived from various tumor tissues, such as breast and gastric epithelia. Sites of expression of the HER-4 gene have been localized by in situ hybridization to several regions of the brain, including: hippocampus, dentate gyrus, neo cortex, medial habenula, reticular nucleus of the thalamus, and the amygdala (Lai and Lemke, Neuron 6:691, 1991). The distribution of the HER-4 receptor has not been studied by methods that allow detection of the protein or the activated receptor tyrosine kinase in vivo or in cultures of primary cells. The expression pattern of erbB2, erbB3 and erbB4 in the retina has not been described.

Neuregulins have been shown to have a variety of biological activities depending on the cell type being studied. Several neuregulins, including native bovine GGFI, II and III and recombinant human GGF2 (rhGGF2) are mitogenic for Schwann cells (Marchionni et al., Nature 362:313, 1993), as is heregulin B1 (Levi et al, J Neurosci. 15:1329, 1995). On human muscle culture, rhGGF2 has a potent trophic effect on myotubes (Sklar et al., U.S. Pat. Applic. # 08/059, 022). The differentiation response to rhGGF2 also includes induction of acetylcholine receptors in cultured myotubes (Jo et al., Nature 373: 158, 1995). This activity is associated with other forms of neuregulin, including ARIA (Falls et al., Cell 72:801, 1993) and heregulin B1 (Chu et al., Neuron 14:329, 1995), as well as with rhGGF2. Further, ARIA has been shown to induce synthesis of voltage-gated sodium channels in chick skeletal muscle (Corfas and Fischbach, J. Neurosci. 13:2118, 1993). Glial growth factor (GGF), and more specifically rhGGF2, can restrict neural crest stem cells to differentiate into glial cells in vitro (Shah et al., Cell 77:349, 1994). Activities of neuregulin

10

15

20

25

30

on retinal cells have not been described. In summary, there are examples of neuregulin proteins affecting proliferation, survival and differentiation of target cells.

### Pharmaceutical need for treating disorders of the eye

A variety of retinal diseases and related disorders are known that produce impaired vision and in some cases progress to total blindness. These disorders of the eye include, but are not necessarily limited to: various retinopathies, such as hypertensive retinopathy, diabetic retinopathy and occlusive retinopathy; also injuries and disorders resulting in retinal degeneration, such as retinal tearing and detachment and inherited diseases, such as retinitis pigmentosa; also age-related macular degeneration; diseases of the optic nerve; glaucoma and retinal ischemia.

Diabetic Retinopathy is the leading cause of blindness in patients 25-74 years. It is responsible for 12,000-24,000 new cases of blindness per year in the United States. Of the 6 million diabetics in the US 50% show detectable retinopathy after 7 years of diabetes. Agerelated macular degeneration (ARMD) is estimated to be present in over 9% of the population 52 years and older and in 33% of the population 75 years and older. Glaucoma is associated with chronically high intraocular pressure and approximately 2 million people in the US are currently being treated. In the US approximately 100,000 people are blinded each year by glaucoma.

There is precedent for the use of growth factors that have been shown to be active on retinal cultures in the treatment of retinal degenerative diseases. FGF supports the survival of photoreceptor cells in culture and has been injected into the extracellular space surrounding the rods and cones or into the vitreous body to rescue the photoreceptors in rats which have degeneration as a result of light damage or because of an inherited disease (LaVail et al, PNAS 89: 11249, 1992, Faktorovich et al J. NeuroSci 12: 3554, 1992). Similarly TGFB2 has been used for the treatment of Macular holes in humans. The TGFB used was derived from bovine sources and was administered by directly infusing the factor into the area of the macular hole (Glaser et al., Opthalmol. 99: 1162, 1992).

Currently, there are limited options for therapy for the promotion of retinal cell function, including survival, proliferation, differentiation, growth and changes in gene activity and metabolic activity. Such a therapy would be useful for treatment of a variety of eye disorders resulting in loss of sight.

5

10

15

20

25

30

#### SUMMARY OF THE INVENTION

5

10

15

20

25

30

35

In general, the present invention provides methods for promoting the function of retinal cells using neuregulins. A novel aspect of the invention involves the use of neuregulins as growth factors to promote survival of retinal cells. Treating of the retinal cells to provide these effects may be achieved by contacting retinal cells with a polypeptide described herein. The treatments may be provided to slow or halt net cell loss or to increase the amount or quality of retinal tissue present in the vertebrate.

Neuregulins are a family of protein factors heretofore described as glial growth factors, acetylcholine receptor inducing activity (ARIA), heregulins, neu differentiation factor, which are encoded by one gene. A variety of messenger RNA splicing variants (and their resultant proteins) are derived from this gene and many of these products show binding to and activation of erbB2 (neu) and closely related receptors erbB3 and erbB4. The invention provides methods for using all of the known products of the neuregulin gene, as well as, other not yet discovered splicing variants of the neuregulin gene. Thus, the above factors, regulatory compounds that induce synthesis of these factors, and small molecules which mimic the effect of these factors by binding to the receptors on retinal tissues or by stimulating through other means the second messenger systems activated by the ligand-receptor complex are all extremely useful as prophylactic and affirmative therapies for retinal tissue diseases and related disorders of the eye.

The survival of retinal cells as used herein refers to the prevention of loss of retinal cells by necrosis or apoptosis or the prevention of other mechanisms of retinal loss. Survival as used herein indicates a decrease in the rate of cell death of at least 10%, more preferably by at least 50%, and most preferably by at least 100% relative to an untreated control. The rate of survival may be measured by counting cells stainable with a dye specific for dead cells (such as propidium iodide) in culture.

Methods for treatment of diseases or disorders using the polypeptides or other compounds described herein are also part of the invention. Examples of retinal tissue disorders that may be treated include eye diseases and disorders resulting from sensorineural pathologies. such as loss of sight, which may also be treated using the methods of the invention. These disorders of the eye include, but are not necessarily limited to: various retinopathies, such as hypertensive retinopathy, diabetic retinopathy and occlusive retinopathy; also injuries and disorders resulting in retinal degeneration, such as retinal

tearing and detachment and inherited diseases, such as retinitis pigmentosa; also age-related macular degeneration: diseases of the optic nerve; glaucoma and retinal ischemia.

The methods of the invention make use of the fact that the various neuregulin proteins are encoded by the same gene. A variety of messenger RNA splicing variants (and their resultant proteins) are derived from this gene and many of these products show binding to p185erbB2 (or related receptors erbB3 and erbB4) and activation of the same. Products of this gene are used to show retinal cell survival activity (see Example 2, below). This invention provides a use for all of the known products of the neuregulin gene (described herein and in the references listed above), which have the stated activities as promoting retinal cell function. Most preferably, recombinant human GGF2 (rhGGF2) is used in these methods.

The invention also relates to the use of other, not yet naturally isolated, splicing variants of the neuregulin gene. Figure 12 shows the known patterns of splicing. These patterns are derived from polymerase chain reaction experiments (on reverse transcribed RNA), analysis of cDNA clones (as presented within) and from analysis of published sequences encoding neuregulins (Peles et al., Cell 69:205, 1992; Wen et al., Cell 69:559, 1992; Wen et al., Mol. Cell Biol. 14:1909, 1994) These patterns, as well as additional patterns disclosed herein, represent probable splicing variants which exist. The splicing variants are fully described in Goodearl et al., USSN 08/036,555, filed March 24, 1993, incorporated herein by reference.

Advantages of the present invention include the development of new therapeutic approaches to injury or diseases of the eye, more specifically degenerative diseases of the retina, based on the promotion of retinal cell function through the use of neuregulins. Loss of retinal cells is a common feature of degenerative eye diseases, and there are no available treatments, including growth factors, that prevent the death of retinal ganglion cells. The factor can be formulated for intraocular injection and administered to patients that suffer from degenerative disorders, which lead to loss of sight. Thus, this approach to therapy can halt or slow the progressive loss of sight, which ensues in various eye diseases.

5

10

15

20

25

5

10

15

25

### **BRIEF DESCRIPTION OF THE FIGURES**

Figure 1 shows the series of layers and cell types which form the retina: (1) corresponds to the ganglion cell layer; (2) corresponds to the inner plexiform layer; (3) corresponds to the inner nuclear layer; (4) corresponds to the outer plexiform layer; (5) corresponds to the outer nuclear layer.

Figure 2 is immunostaining showing that neuregulin protein is expressed in the retinal ganglion cell layer during embryonic retinal development. Arrows point to labeling in the developing ganglion cell layer.

Figure 3 is in situ hybridization showing that neuregulin mRNA is expressed in cells of the retinal ganglion cell layer during embryonic development. Arrows point to the labeling in the ganglion cell layer, showing that the distribution is similar to the neuregulin immunoreactivity shown in Figure 2.

Figure 4 is immunostaining showing that neuregulin protein is present in the inner and outer plexiform layers of the adult retina.

Figure 5 is immunostaining showing that TUJ1 immunoreactivity is expressed in the newborn rat retina and shows that retinal ganglion cells are the primary cell class that expresses this antigen at this stage of development. The retinal ganglion cell layer is marked with large arrows, while the labeled amacrine cells are marked with the small arrows and the labeled horizontal cells are marked with the arrowheads.

Figure 6 is an immunostained culture of rat retinal neurons, which were grown for two days in the presence of rhGGF2 (neuregulin) on collagen gels showing extended long processes labeled with the TUJ1 antibody.

Figure 7 is an immunostained culture of rat retinal cells showing that neuregulin (rhGGF2) causes a significant increase in TUJ1 immunoreactive in embryonic day 18 rat retinal cells after two days of culture.

Figure 8 is an immunostained culture of rat retinal cells showing that the neuregulin (rhGGF2)- induced cell survival is age dependent: neuregulin (rhGGF2) does not cause a significant increase in TUJ1 immunoreactive embryonic day 15 rat retinal cells after two days of culture.

Figure 9 is a bar graph of the results of three separate experiments with embryonic day 18 rat retinal cells.

Figure 10 is a bar graph of the experimental results showing the effects of GGF on retinal cell survival.

Figure 11A is a listing of the coding strand DNA sequence and deduced amino aid sequence of the cDNA obtained from the splicing pattern of GGFBPP1 shown in Figure 12. Potential glycosylation sites are underlined (along with polyadenylation signal AATAAA);

Figure 11B is a listing of the coding strand DNA sequence and deduced amino acid sequence of the cDNA obtained from splicing pattern of GGF2BPP2. Potential glycosylation sites are underlined (along with polyadenylation signal AATAAA);

Figure 11C is a listing of the coding strand DNA sequence and deduced amino acid sequence of the cDNA obtained from splicing pattern of GGF2BPP3. Potential glycosylation sites are underlined (along with polyadenylation signal AATAAA).

20 Figure 12 shows products of the neuregulin gene.

Figure 13 is a listing of the DNA sequences and predicted peptide sequences of the coding segments of GGF. Line 1 is a listing of the predicted amino acid sequences of bovine GGF, line 2 is a listing of the nucleotide sequences of bovine GGF, line 3 is a listing of the nucleotide sequences of human GGF (heregulin) (nucleotide base matches are indicated with a vertical line) and line 4 is a listing of the predicted amino acid sequences of human GGF/heregulin where it differs from the predicted bovine sequence. Coding segments E, A' and K represent only the bovine sequences. Coding segment D' represents only the human (heregulin) sequence.

Figure 14 is the predicted GGF2 amino acid sequence and nucleotide sequence of BPP5. The upper line is the nucleotide sequence and the lower line is the predicted amino acid sequence.

Figure 15 is the predicted amino acid sequence and nucleotide sequence of GGF2BPP2. The upper line is the nucleotide sequence and the lower line is the predicted amino acid sequence.

10

15

25

Figure 16 is the predicted amino acid sequence and nucleotide sequence of GGF2BPP4. The upper line is the nucleotide sequence and the lower line is the predicted amino acid sequence.

5

Figure 17 is a list of splicing variants derived from the sequences shown in Figure 13.

Figure 18 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL1.

10

Figure 19 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL2.

Figure 20 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL3.

Figure 21 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFLA.

Figure 22 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL5.

Figure 23 is the predicted amino acid sequence, bottom, and nucleic sequence, top, of EGFL6.

- Figure 24 is the predicted amino acid sequence (middle) and nucleic sequence (top) of GGF2HBS5. The bottom (intermittent) sequence represents peptide sequences derived from GGF-II preparations.
- Figure 25 is the sequences of GGFHBS5, GGFHB1 and GGFBPP5 polypeptides.
  - Figure 26 is the amino acid sequence of cDNA encoding mature hGGF2.
- Figure 27 depicts a stretch of the putative bovine GGF-II gene sequence from the recombinant bovine genomic phage GGF2BG1. The figure is the coding strand of the DNA sequence and the deduced amino acid sequence in the third reading frame.

## DETAILED DESCRIPTION OF THE INVENTION

It is intended that all references cited shall be incorporated herein by reference.

#### 5 General

10

25

The invention pertains to methods of promoting function of retinal cells. The function is affected by the administration of a neuregulin to a vertebrate where the neuregulin interacts with a retinal cell to promote one or more aspects of retinal cell function, including proliferation, differentiation, growth, survival, changes in the pattern of gene expression and secretion, and metabolic change of the retinal cell.

### Definition of key terms

The term <u>administration</u> as used herein refers to the act of delivering a substance, including but not limited to the following routes: parenteral, intravenous, subcutaneous, intramuscular, intraorbital, ophthalmic, intravitreal, subretinal, intraperitoneal, topical, intranasal, aerosol or oral.

The term <u>affecting</u> as used herein refers to the induction of a quantitative change in the response of a target cell, as a result of an interaction with a neuregulin.

The term <u>amacrine cell</u> as used herein refers to local interneurons in the inner plexiform layer of the retina that mediate interactions between bipolar and ganglion cells.

The term <u>bipolar cell</u> as used herein refers to the interneurons of the retina that connect the photoreceptor cells with the retinal ganglion cells.

The term <u>differentiation</u> as used herein refers to a morphological and/or chemical change that results in the generation of a different cell type or state of specialization. The differentiation of cells as used herein refers to the induction of a cellular developmental program which specifies one or more components of a cell type. The therapeutic usefulness of differentiation can be seen, in increases in quantity of any component of a cell type in diseased tissue by at least 10% or more, more preferably by 50% or more, and most preferably by more than 100% relative to the equivalent tissue in a similarly treated control animal.

The term <u>disorder</u> as used herein refers to a disturbance of function and/or structure of a living organism, resulting from an external source, a genetic predisposition, a physical or chemical trauma, or a combination of the above, including but not limited to any mammalian disease.

5

The term <u>erbB receptor</u> as used herein refers to erbB2, erbB3 and erbB4 (also HER-2. HER-3 and HER-4 of human) existing as monomeric, homodimeric and heterodimeric (e.g., erbB2/erbB3) cell surface receptor tyrosine kinases that bind and/or are activated by one or more neuregulins.

10

The term <u>function</u> as used herein refers to any activity or response of a cell. These include but are not limited to proliferation, differentiation, growth, survival, changes in the pattern of gene expression and secretion, and metabolic changes.

15

The term <u>horizontal cell</u> used herein refers to local interneurons in the outer plexiform layer of the retina that mediate interactions between bipolar and receptors cells.

The term <u>mammal</u> as used herein describes a member of the Class Mammalia (Subphylum Vertebrata).

20

25

The term mitosis as used herein refers to the division of a cell where each daughter nucleus receives identical complements of the numbers of chromosomes characteristic of the somatic cells of the species. Mitosis as used herein refers to any cell division which results in the production of new cells in the patient. More specifically, a useful therapeutic is defined *in vitro* as an increase in mitotic index relative to untreated cells of 50%, more preferably 100%, and most preferably 300%, when the cells are exposed to labeling agent for a time equivalent to two doubling times. The mitotic index is the fraction of cells in the culture which have labeled nuclei when grown in the presence of a tracer which only incorporates during S phase (i.e., BrdU) and the doubling time is defined as the average time required for the number of cells in the culture to increase by a factor of two.

35

30

The term <u>neuregulin</u> as used herein refers to the glial growth factors, the heregulins, neu differentiation factor, acetylcholine receptor inducing activity, and erbB2, 3 and 4 binding proteins. A more complete definition of neuregulins can be found in the specification herein and in the following materials: U.S. Patent No. 5,237,056; U.S. Patent Application SN 08/249,322; WO 92/20798; EPO 0 505 148 A1; Marchionni, et al., *Nature* 362:313, 1993; Benveniste, et al., *PNAS* 82:3930, 1985; Kimura, et al., *Nature* 348:257,

1990; Davis and Stroobant, J. Cell. Biol. 110:1353, 1990; Wen, et al., Cell 69:559, 1992; Yarden and Ullrich, Ann. Rev. Biochem. 57:443, 1988; Holmes, et al., Science 256:1205, 1992; Dobashi, et al., Proc. Natl. Acad. Sci. 88:8582, 1991; Lupu, et al., Proc. Natl. Acad. Sci. 89:2287, 1992; Peles and Yarden, BioEssays 15:815, 1993, Mudge, Current Biology 3:361, 1993, all hereby incorporated by reference.

The term <u>neurological disorder</u> as described herein refers to a disorder of the nervous system.

The term <u>photoreceptor cell</u> as used herein refers to two retinal cell types, rods and cones, that are the cells that transduce light into an electrical signal.

The term <u>retinal cell</u> as used herein refers to any of the cell types that comprise the retina, such as retinal ganglion cells, amacrine cells, horizontal cells, bipolar cells, and photoreceptor cells including rods and cones, Müller glial cells and retinal pigmented epithelium.

The term <u>retinal ganglion cell</u> as used herein refers to neurons of the retina that project axons via the optic nerve to the lateral geniculate nucleus and the superior colliculus.

The term <u>survival</u> as used herein refers to any process where a cell avoids death. The term survival as used herein also refers to the prevention of cell loss as evidenced by necrosis or apoptosis or the prevention of other mechanisms of cell loss. Survival as used herein indicates a decrease in the rate of cell death by at least 10%, more preferably by at least 50%, and most preferably by at least 100% relative to an untreated control. The rate of survival may be measured by counting cells stainable with a dye specific for dead cells (such as propidium iodide) in culture.

The term therapeutically effective amount as used herein refers to that amount which will produce a desirable result upon administration and which will vary depending upon a number of issues, including the dosage to be administered, and the route of administration.

The term treating as used herein may refer to a procedure (e.g. medical procedure) designed to exert a beneficial effect on a disorder. Treating as used herein means any administration of a substance described herein for the purpose of increasing retinal cell function. Most preferably, the treating is for the purpose of reducing or diminishing the symptoms or progression of a disease or disorder of retinal cells. Treating as used herein

5

15

20

25

30

also means the administration of a substance to increase or alter the cells in healthy individuals. The treating may be brought about by the contacting of the cells which are sensitive or responsive to the neuregulins described herein with an effective amount of the neuregulin.

5

The term <u>TUJ1</u> as used herein refers to an antibody that recognizes a neural-specific form of β-tubulin, which is expressed in the longitudinal cells, amacrine cells and ganglion cells of the retina.

The term <u>vertebrate</u> as used herein refers to an animal that is a member of the Subphylum Vertebrata (Phylum Chordata).

### Neuregulins

5

10

15

20

25

A novel aspect of the present invention relates to the ability of neuregulins to affect retinal cell function. Neuregulins are the products of a gene which produce a number of variably-sized. differentially-spliced RNA transcripts that give rise to a series of proteins. These proteins are of different lengths and contain some common peptide sequences and some unique peptide sequences. The conclusion that these factors are encoded by a single gene is supported by the differentially-spliced RNA sequences which are recoverable from bovine posterior pituitary, human spinal chord and human breast cancer cells (MDA-MB-231). Further support for this conclusion derives from the size range of proteins which act as ligands for the erbB receptors (see below).

Further evidence to support the fact a single gene encodes the various neuregulins derives from nucleotide sequence comparisons. Holmes et al., (Science 256:1205, 1992) demonstrate the purification of a 45-kilodalton human protein (Heregulin-a) which specifically interacts with the receptor protein p185erbB2. Peles et al., (Cell 69:559, 1992) describe a complementary DNA isolated from rat cells encoding a protein call "neu differentiation factor" (NDF). The translation product of the NDF cDNA has p185erbB2 binding activity. Several other groups have reported the purification of proteins of various molecular weights with erbB receptor binding activity. These groups include the following: Lupu et al., Proc. Natl. Acad. Sci. USA 89:2287, 1992; Yarden and Peles, Biochemistry 30:3543, 1991; Lupu et al., Science 249:1552, 1990; Dobashi et al., Biochem. Biophys. Res. Comm. 179:1536, 1991; and Huang et al., J. Biol. Chem. 257:11508, 1992.

We have found that proteins that bind p185erbB2 and related receptors (i.e., p185erbB3 and p185erbB4) affect retinal cell survival (Example 2). Further, the presence of immunologically-detectable neuregulin protein (Example 1) in retinal ganglion cells in vivo indicates that neuregulin has a role in retinal cell survival in vivo.

These neuregulins may be identified using the protocols described herein and in Holmes et al., Science 256: 1205, 1992; Peles et al., Cell 69:205, 1992; Wen et al., Cell 69:559, 1992; Lupu et al., Proc. Natl. Acad. Sci. USA 89:2287, 1992; Yarden and Peles. Biochemistry 30:3543, 1991; Lupu et al., Science 249:1552, 1990; Dobashi et al., Biochem. Biophys. Res. Comm. 179:1536, 1991; Huang et al., J. Biol. Chem. 257:11508-11512, 1992; Marchionni et al., Nature 362:313, 1993; and in U.S. Patent Application Serial No. 07/931.041, filed August 17, 1992, all of which are incorporated herein by reference.

Specifically, the invention provides for use of polypeptides of a specified formula. and DNA sequences encoding those polypeptides. The polypeptides have the formula

WYBAZCX

- a) at least one of F, Y, B, A, Z, C, or X is of bovine origin; or
- b) Y comprises the polypeptide segment E; or
- c) X comprises the polypeptide segments C/D HKL, C/D D, C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D' H, C/D D' HL, C/D D' HKL, C/D D' H, C/D C/D' D' HKL, C/D C/D' D' HKL, C/D C/D' D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HL.

In addition, the invention includes the use of the DNA sequence comprising coding segments 5'FBA3' as well as the with corresponding polypeptide segments having the amino acid sequences shown in Figure 13;

the DNA sequence comprising the coding segments 5'FBA'3' as well as the corresponding polypeptide segments having the amino acid sequences shown in Figure 13;

the DNA sequence comprising the coding segments 5'FEBA3' as well as the corresponding polypeptide segments having the amino acid sequences shown in Figure 13;

the DNA sequence comprising the coding segments 5'FEBA'3' as well as the corresponding polypeptide segments having the amino acid sequences shown in Figure 13;

the DNA sequence comprising the polypeptide coding segments of the GGF2HBS5 cDNA clone (ATCC Deposit No. 75298, deposited September 2, 1992), also known as GGF-II.

The invention further includes the use of peptides of the formula FBA, FEBA, FBA' FEBA' and DNA sequences encoding these peptides wherein the polypeptide segments correspond to amino acid sequences shown in Figure 13. The polypeptide purified GGF-II polypeptide is also included as part of the invention.

Also included in this invention is the mature GGF peptide and the DNA encoding said peptide, exclusive of the N-terminal signal sequence, which is also useful for treatment of conditions involving abnormalities in retinal cell function.

10

15

20

25

Furthermore, the invention includes a method of retinal cell function by the application to a vertebrate of a

- 30 kD polypeptide factor isolated from the MDA - MB 231 human breast cell line:

5 or

- $-35~\mathrm{kD}$  polypeptide factor isolated from the rat I-EJ transformed fibroblast cell line to the glial cell; or
  - -75 kD polypeptide factor isolated from the SKBR-3 human breast cell line; or
  - -44 kD polypeptide factor isolated from the rat I-EJ transformed fibroblast cell line,

10 or

20

- -25 kD polypeptide factor isolated from activated mouse peritoneal macrophages; or
- -45 kD polypeptide factor isolated from the MDA MB 231 human breast cell; or
- -7 to 14 kD polypeptide factor isolated from the ATL-2 human T-cell line to the glial cell; or
- 15 -25 kD polypeptide factor isolated from the bovine kidney cell; or
  - -42 kD polypeptide factor (ARIA) isolated from brains.

The invention further includes a method for the use of the EGFL1, EGFL2, EGFL3, EGFL4, EGFL5, and EGFL6 polypeptides, Figures 18 to 23 and respectively, for the methods of affecting retinal cell function *in vivo* and *in vitro*.

Also included in the invention is the administration of the GGF-II polypeptide whose sequence is shown in Figure 24 for affecting retinal cell function.

- Thus, the invention further embraces a polypeptide factor capable of affecting retinal cell function and including an amino acid sequence encoded by:
  - (a) a DNA sequence shown in Figure 11;
  - (b) a DNA sequence shown in Figure 27;
- (c) the DNA sequence represented by nucleotides 281-557 of the sequences shown in Figure 11; or
  - (d) a DNA sequence hybridizable to any one of the DNA sequences according to (a), (b) or (c).

The invention further includes sequences which have greater than 60%, preferably 80%, sequence identity of homology to the sequences indicated above.

While the present invention is not limited to a particular set of hybridization conditions, the following protocol gives general guidance which may, if desired, be followed:

DNA probes may be labeled to high specific activity (approximately  $10^8$  to  $10^9$  32P dpm/µg) by nick-translation or by PCR reactions according to Schowalter and Sommer (Anal. Biochem. 177:90, 1989) and purified by desalting on G-150 Sephadex columns. Probes may be denatured (10 minutes in boiling water followed by immersion into ice water), then added to hybridization solutions of 80% buffer B (2g polyvinylpyrolidine, 2g Ficoll-400, 2g bovine serum albumin, 50ml 1 M Tris HCL (pH 7.5), 58g NaCl, 1g sodium pyrophosphate, 10g sodium dodecyl sulfate, 950 ml H<sub>2</sub>O) containing 10% dextran sulfate at  $10^6$  dpm  $3^2$ P per ml and incubated overnight (approximately 16 hours) at  $60^\circ$  C. The filters may then be washed at  $60^\circ$  C first in buffer B for 15 minutes followed by three 20-minute washes in 2X SSC, 0.1% SDS then one for 20 minutes in 1XSSC, 0.1% SDS.

15

20

5

10

In other respects, the invention provides:

(a) a basic polypeptide factor which has, if obtained from bovine pituitary material, an observed molecular weight, whether in reducing conditions or not, of from about 30 kD to about 36 kD on SDS-polyacrylamide gel electrophoresis using the following molecular weight standards:

Lysozyme (hen egg white)	14,400
Soybean trypsin inhibitor	21,500
Carbonic anhydrase (bovine)	31,000
Ovalbumin (hen egg white)	45,000
Bovine serum albumin	66,200
Phosphorylase B (rabbit muscle)	97,400;

25

30

35

which factor has glial cell mitogenic activity including stimulating the division of rat Schwann cells in the presence of fetal calf plasma, and when isolated using reversed-phase HPLC retains at least 50% of said activity after 10 weeks incubation in 0.1 % trifluoroacetic acid at 4° C; and

(b) a basic polypeptide factor which has, if obtained from bovine pituitary material, an observed molecular weight, under non-reducing conditions, or from about 55 kD to about 63 kD on SDS-polyacrylamide gel electrophoresis using the following molecular weight standards:

Lysozyme (hen egg white)	14,400
Soybean trypsin inhibitor	21,500
Carbonic anhydrase (bovine)	31,000
Ovalbumin (hen egg white)	45,000
Bovine serum albumin	66,200
Phosphorylase B (rabbit muscle)	97 400

which factor the human equivalent of which is encoded by DNA clone GGF2HBS5 described herein and is capable of affecting retinal cell function.

10

5

For convenience of description only, the lower molecular weight and higher molecular weight factors of this invention are referred to hereafter as "GGF-I" and "GGF-II", respectively. The "GGF2" designation is used for all clones isolated with peptide sequence data derived from GGF-II protein (i.e., GGF2HBS5, GGF2BPP3).

15

It will be appreciated that the molecular weight range limits quoted are not exact, but are subject to slight variations depending upon the source of the particular polypeptide factor. A variation of, say, about 10% would not, for example, be impossible for material from another source.

20

Another important aspect of the invention is a DNA sequence encoding a polypeptide capable of affecting retinal cell function and comprising:

- (a) a DNA sequence shown Figure 11:
- (b) a DNA sequence shown in Figure 27:
- 25 (c) the DNA sequence represented by nucleotides 281-557 of the sequence shown in Figure 11; or
  - (d) a DNA sequence hybridizable to any one of the DNA sequences according to (a), (b) or (c).

30

35

Thus other important aspects of the invention are:

- (a) A series of human and bovine polypeptide factors capable of affecting retinal cell function. These peptide sequences are shown in Figures 13, 14, 15 and 16 respectively.
- (b) A series of polypeptide factors capable of affecting retinal cell function and purified and characterized according to the procedures outlined by Lupu et al., Science 249:1552. 1990; Lupu et al., Proc. Natl. Acad. Sci USA 89: 2287, 1992; Holmes et al., Science 256:1205, 1992; Peles et al., Cell 69:205, 1992; Yarden and Peles, Biochemistry 30:3543, 1991; Dobashi et al., Proc. Natl. Acad. Sci. 88: 8582, 1991; Davis et al., Biochem.

Biophys. Res. Commun. 179:1536, 1991; Beaumont et al., Patent Application PCT/US91/03443 (1990); Greene et al., Patent Application PCT/US91/02331 (1990); Usdin and Fischbach, J. Cell. Biol. 103:493, 1986; Falls et al., Cold Spring Harbor Symp. Quant. Biol. 55:397, 1990; Harris et al., Proc. Natl. Acad. Sci. USA 88:7664, 1991; and Falls et al., Cell 72:801, 1993.

(c) A polypeptide factor (GGFBPP5) is capable of affecting retinal cell function. The amino acid sequence is shown in Figure 14, and is encoded by the bovine DNA sequence shown in Figure 14.

The novel human peptide sequences described above and presented Figures 13, 14, 15, and 16, respectively, represent a series of splicing variants which can be isolated as full length complementary DNAs (cDNAs) from natural sources (cDNA libraries prepared from the appropriate tissues) or can be assembled as DNA constructs with individual exons (e.g., derived as separate exons) by someone skilled in the art.

15

20

25

30

35

10

5

Other compounds, in particular peptides, which bind specifically to erbB receptors can also be used according to the invention as effectors of retinal cell function. A candidate compound can be routinely screened for erbB receptor binding, and, if it binds, can then be screened for affecting retinal cell function, more specifically, retinal cell survival, using the methods described herein.

The invention includes any modifications or equivalents of the above polypeptide factors which do not exhibit a significantly reduced activity. For example, modifications in which amino acid content or sequence is altered without substantially adversely affecting activity are included. By way of illustration, in EP-A 109748 mutations of native proteins are disclosed in which the possibility of unwanted disulfide bonding is avoided by replacing any cysteine in the native sequence which is not necessary for biological activity with a neutral amino acid. The statements of effect and use contained herein are therefore to be construed accordingly, with such uses and effects employing modified or equivalent factors being part of the invention.

The new sequences of the invention open up the benefits of recombinant technology. The invention thus also includes the following aspects:

(a) DNA constructs comprising DNA sequences as defined above in operable reading frame position within vectors (positioned relative to control sequences so as to permit expression of the sequences) in chosen host cells after transformation thereof by the constructs (preferably the control sequence includes regulatable promoters, e.g. Trp). It will

be appreciated that the selection of a promoter and regulatory sequences (if any) are matters of choice for those of skill in the art:

(b) host cells modified by incorporating constructs as defined in (a) immediately above so that said DNA sequences may be expressed in said host cells - the choice of host is not critical, and chosen cells may be prokaryotic or eukaryotic and may be genetically modified to incorporate said constructs by methods known in the art; and,

(c) a process for the preparation of factors as defined above comprising cultivating the modified host cells under conditions permitting expression of the DNA sequences. These conditions can be readily determined, for any particular embodiment, by those of skill in the art of recombinant DNA technology. Glial cell mitogens prepared by this means are included in the present invention.

None of the factors described in the art has the combination of characteristics possessed by the present new polypeptide factors.

15

10

5

The invention also includes a neuregulin as defined above, by extracting vertebrate brain material to obtain protein. subjecting the resulting extract to chromatographic purification by hydroxyapatite HPLC and then subjecting these fractions to SDS-polyacrylamide gel electrophoresis. The fraction which as an observed molecular weight of about 30 kD to 36 kD and/or the fraction which has an observed molecular weight of about 55 kD to 63 kD is collected. In either case, the fraction is subjected to SDS-polyacrylamide gel electrophoresis using the following molecular weight standards:

25

20

Lysozyme (hen egg white)	14,400
Soybean trypsin inhibitor	21,500
Carbonic anhydrase (bovine)	31,000
Ovalbumin (hen egg white)	45,000
Bovine serum albumin	66,200
Phosphorylase B (rabbit muscle)	97,400

30

35

In the case of the smaller molecular weight fraction, the SDS-polyacrylamide gel is run in non-reducing conditions in reducing conditions or, and in the case of the larger molecular weight fraction the gel is run under non-reducing conditions. The fractions are then tested for activity stimulating the division of rat Schwann cells against a background of fetal calf plasma.

Preferably, the above process starts by isolating a relevant fraction obtained by carboxymethyl cellulose chromatography, e.g. from bovine pituitary material. It is also preferred that hydroxyapatite HPLC, cation exchange chromatography, gel filtration, and/or reversed-phase HPLC be employed prior to the SDS-Polyacrylamide gel electrophoresis. At each stage in the process, activity may be determined using Schwann cell incorporation of radioactive iododeoxyuridine as a measure in an assay generally as described by Brockes in Meth. Enz. 147:217, 1987, but modified by substituting 10% FCP for 10% FCS.

Compounds can be assayed for their usefulness in vitro using the methods provided in the description and examples below. Following the in vitro demonstration of the effect of neuregulins on retinal cell function, the in vivo therapeutic benefit of the effect can be accomplished by the administration of neuregulins, neuregulin producing cells or DNA encoding neuregulins to a vertebrate requiring therapy.

### In Vitro Assays of Neuregulin Effects on Retinal Cells

Several in vitro assays are used to determine which neuregulin protein(s) promote retinal cell function and which retinal cell types are affected by contacting neuregulin protein. Described below are methods for detecting the ability of a neuregulin to promote function of a retinal cell. In vitro assays for determining neuregulin effects on retinal cell function depend on establishing retinal cultures. A general reference on cell and tissue culture is Cell and Tissue Culture: Laboratory Procedures (Ed. by A. Doyle, J. B. Griffiths, and D. G. Newell, John Wiley and Sons, New York, NY, 1994). General references on the culture of neural cells and tissues are Methods in Neurosciences, Vol. 2 (Ed. by P. M. Conn. Academic Press, Sand Diego, CA, 1990) and Culturing Nerve Cells (Ed. by G. Banker and K. Goslin, MIT Press, Cambridge, MA, 1991). General references of immunocytochemistry are Antibodies: A Laboratory Manual (E. Harlow and D. Lane, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY, 1988), and Immunocytochemistry II (Ed. by A. C. Cuello, John Wiley and Sons, New York, NY, 1993).

30

35

25

10

15

20

The retinal cells from a vertebrate used in this invention may be cultured in a variety of media. Commercially available media such as Ham's F10(Sigma), Minimal Essential Medium ([MEM], Sigma), RPMI-1640 (Sigma), and Dulbecco's Modified Eagle's Medium ([DMEM], Sigma) are suitable for culturing retinal cells. In addition, any of the media described in Ham and Wallace, *Meth. Enz.* 58:44, 1979; Barnes and Sato, *Anal. Biochem.* 102:255, 1980; U.S. Pat. Nos. 4,767,704; 4,657,866; 4,927,762; or 4,560,655; WO 90/03430; WO 87/00195 and U.S. Pat. Re. 30,985, may be used as culture media for retinal

cells. Any of these media may be supplemented as necessary with hormones and/or other growth factors (such as insulin, transferrin, or epidermal growth factor), salts (such as sodium chloride, calcium, magnesium, and phosphate), buffers (such as HEPES), nucleosides (such as adenosine and thymidine), antibiotics (such as Gentamycin<sup>TM</sup> drug), trace elements (defined as inorganic compounds usually present at final concentrations in the micromolar range), and glucose or an equivalent energy source. Any other necessary supplements may also be included at appropriate concentrations that would be known to those skilled in the art. The culture conditions, such as temperature, pH. and the like, will be apparent to the ordinarily skilled artisan.

10

15

20

25

30

35

5

The use of retinal cell cultures to demonstrate that neuregulin promotes retinal cell function is in accordance with methods described in general terms above and further described in Pittack et al., Devel. 113:577, 1991. The retina is dissected from either embryonic or adult vertebrate animals and placed into Ca+2/Mg+2-free Hepes-buffered sterile saline (HBSS) for 15 min., followed by treatment with 0.25% trypsin for an additional 15 min. The trypsin is inactivated by the addition of 1% fetal bovine serum. The cells are subsequently resuspended in fresh medium and gently triturated to yield a singlecell suspension. Cells are plated into wells of 24-well plates and cultured at 37°C The types of retinal cells present in the culture can be identified through the use of immunocytochemical markers. Specific molecular markers can be stained immunocytochemically for the identification of cell types in the retina: for photoreceptors -e.g., rhodopsin, and red and green cone opsins: for amacrine cells -- e.g., cellular retinoic acid binding protein; for bipolar cells -- e.g., a specific form of protein kinase C and its substrate protein PCP2; for retinal ganglion cells--Thy1 and ß3-tubulin and; for horizontal cells--\(\beta\)3-tubulin. After maintaining the cultures for varying periods of time, preferably greater than 1 day and less than 7 days, a variety of assays can be utilized to assess various aspects of cellular phenotype such as, but not limited to, cell survival, proliferation, differentiation, morphology, and production of enzymes and secreted products.

#### In Vitro Method I

The survival function is assayed by methods that identify and count either viable cells or dead retinal cells following culture at low density (e.g., for retinal ganglion cells 10,000 cells/cm<sup>2</sup>) over a period from one to six days in the presence of varying amounts of neuregulin added to the culture medium. Included in these methods are specific stains for dead cells, such as propidium iodide, which enters the nucleus of dead cells and is detected

by fluorescence microscopy. Alternatively, the counting of retinal cells adhering to the culture substratum over a six day period also can be used as an indicator of cell survival.

#### In Vitro Method II

5

An alternative procedure to monitor retinal cell death utilizes labeling of nicked DNA strands, which are characteristic of cells undergoing apoptotic cell death, with digoxygenin-11-dUTP using terminal deoxynucleotidyl transferase (TUNEL) according to the protocol described in Gavrieli et al., *J. Cell Biol.* 119: 493-501, 1992. The labeled DNA strands are detected using standard kits available from commercial vendors (e.g., Genius kit from Boehringer Mannheim). Further, a cell death detection ELISA system, which is based on the DNA fragmentation that occurs in dying cells (Boehringer Mannheim catalog no. 1585 045) can be utilized to quantify cell death in accordance with the instructions provided by the commercial vendor.

15

20

25

10

#### In Vitro Method III

The release into the culture medium of the cytosolic enzyme lactate dehydrogenase (LDH) also can be used to quantify the extent of retinal cell death *in vitro* (Kirk et al, J. Pharmacol. Exper. Therapeut. 271:1080, 1994). LDH levels are measured by an automated kinetic colorimetric assay in which oxidation of lactate to pyruvate is coupled to reduction of the tetrazolium dye, INT. Briefly, 80 ul samples of the culture medium are mixed with an equal volume of the substrate solution containing (in mg/l) INT, 334; phenazine methosulfate, 86; nicotinamide adenine dinucleotide, 862; L-(+)-lactate, 4900 (lithium salt); and 0.1% Triton X-100 in 0.2 M Tris buffer, pH 8.2. In the assay, LDH activity is directly proportional to the rate of appearance of the resulting INT formazan (absorbance max. at 492 nm). The product is monitored quantitatively in a microplate reader (UVmax, Molecular Devices, Menlo Park, CA) as the change in absorbance at 490 nm over a 2 min. interval.

30

35

#### In Vitro Method IV

The proliferative function of neuregulins on retinal cells can be assayed by incorporation of <sup>125</sup>I-Urd, <sup>3</sup>H-dT or BrdU into replicating DNA strands of dividing cells, or by cell counting. The assays developed to measure the mitogenic activity of neuregulins on Schwann cells by incorporation of DNA synthesis precursors (Brockes et al., *Brain Res.*)

165:105, 1979; Davis and Stroobant, J. Cell Biol. 110: 1353, 1990) can be adapted to retinal cells by one of normal skill in the art of cell culture.

#### In Vitro Method V

5

10

The differentiation function of neuregulin on retinal cells can be assayed by employing analytical methods, such as immunostaining or in situ hybridization, which can detect and quantify marker proteins associated with the various cell types of the retina. Retinal ganglion cells are recognized by staining with the specific tubulin antibody TUJ1, as shown in Example 2 (Figure 4). The glial cells of the retina, Müller glia, are recognized by staining with antibodies that recognize glial fibrillary acidic protein (GFAP). For example, neurogenesis of retinal cells in culture can be achieved by dissociating embryonic retinal progenitor cells of the rat (from E15 through E18), then contacting the cells with the neuregulin and quantifying the distribution of various cell types identified by immunostaining using the markers described herein. In addition to this assay, which is based on determining activity in retinal neurogenesis, the differentiation function of neuregulin can be assayed in mature cultures (e.g., differentiated in culture for approximately two weeks). As such, changes in the level of specific proteins expressed in particular retinal cell types can be quantified.

20

25

30

35

15

#### In Vitro Method VI

Several peptide growth factors and their receptors have been identified in the retina, as described in the prior act. Methods utilized to detect those molecules and activities can be employed to demonstrate a differentiation function of neuregulin on retinal cells. Neuregulins can be shown to induce the synthesis of growth factors and/or their receptors expressed in the retina. The analysis can be by *in situ* hybridization or other methods of quantitative RNA analysis, such as, but not limited to, reverse transcription-PCR, RNAse protection and Northern blotting. Alternatively, induced expression of growth factors or their receptors can be assayed by immunocytochemical staining or cell biological assays designed to measure growth factor activity.

The *in vitro* assays described above to identify neuregulins that have biological activity on retinal cells can be applied to dissociated cells, semi-dissociated cells, explants of whole retina and parts thereof, such as preparations of retinal pigmented epithelium and other layers of the retina. The cultures can be established and maintained using methods described above. In some cases, minor modifications or substitutions to the procedures

described herein, which do not alter the reduction to practice of the invention, can be provided by one of ordinary skill in the art.

### In Vivo Assays of Neuregulin Effects on Retinal Cells

5

10

Neuregulin activity on retinal cells also can be shown through *in vivo* assays. Some *in vivo* assays represent animal models of retinal degeneration and other diseases and disorders of the eye. For example, photoreceptor cells are lost in inherited retinal degeneration and in age-related macular degeneration. Retinal ganglion cells die in glaucoma and in optic nerve injuries, such as retinal ischemia or axotomy.

#### In Vivo Method I

10

15

20

25

30

35

The rescue of photoreceptor cells can be demonstrated in Royal College of Surgeons (RCS) rats, which have an inherited retinal degeneration (Faktorovich et al., Nature 347:83, 1990). The histological analysis (Method H1) consists of vascular perfusion of anesthetized animals, embedding the eye in epoxy resin, then staining 1 micron sections with toluidine blue. In untreated RCS rats at 53 days after birth (P53) the outer nuclear layer, which contains the photoreceptor cells. is reduced in thickness to only a few rows of cells (approximately 20% of the thickness found in normal rats at the same age). A therapeutically effective dose of neuregulin administered by intravitreal administration ( a single injection of 1 microliter) can restore the thickness of the outer nuclear layer, and hence rescue photoreceptor cells. Alternatively, rescue of photoreceptor cells can be demonstrated in the Sprague-Dawley rat models (2-to-3 month old males) of exposure to constant light (115-200 foot-candles) for 1 week (LaVail et al., PNAS USA 89:11249, 1992). Neuregulin can be injected (1 ul) into the subretinal space or into the vitreous humor 48 hours prior to the onset of continuous illumination. Histological analysis (Method H1) of retinas following a fixed recovery period (usually 10 days) is used to assess the damage to and rescue of photoreceptor cells. Retinal detachment also leads to the death of photoreceptor cells, which provides another animal model (Erickson et al., J. Struct. Biol. 108:148, 1992) to demonstrate the in vivo survival activity of neuregulin on retinal cells.

#### In Vivo Method II

Several mouse genetic models of photoreceptor degeneration (e.g., rd--mutant of b subunit of cGMP phosphodiesterase; rds--mutant of peripherin) can be used to show neuregulin survival effects in vivo using the modes of administration described above. The rd and rds animals show retinal degeneration within a few weeks after birth and following intravitreal injection of neuregulin tissues can be analyzed by histological methods described above (e.g., Method H1). Further, retinal explants from rd mice cultured in neuregulin-containing medium can be assayed for thickness of the outer nuclear layer using methods described in Caffe et al., Curr. Eye Res. 12:719, 1993. Mouse pups are enucleated 48 hours after birth and treated with proteinase K. After enzyme treatment, the neural retina with the retinal pigmented epithelium (RPE) attached is recovered, placed into a multi-well culture dish and incubated in 1.2 ml culture medium (e.g., R16) for up to 4 weeks at 37 C with 5 % CO2. Immunocytochemical staining for opsin of fixed (e.g., 4% paraformaldehyde) sections is used to assess the degeneration and rescue of photoreceptor cells. In the rd mouse the outer nuclear layer (photoreceptor cells) degenerate after 2-to-4 weeks in culture. The

media can be supplemented with varying doses of neuregulin to achieve an effect on retinal cell function, such as rescue of the outer nuclear layer from degeneration. Survival effects also can be shown using the TUNEL method on sections of retina analyzed in the models described above.

5

10

15

20

25

### In Vivo Method III

In response to injury to the retina Müller cells undergo a proliferative gliosis. The mitotic activity of neuregulin on Müller glia can be shown by labeling dividing cells with a DNA synthesis precursor following administration of the factor. Labeled cells can be detected by autoradiography (<sup>3</sup>H-dT) or by immunostaining (BrdU labeling) and quantified.

#### In Vivo Method IV

Neuregulins can be shown to promote retinal ganglion cell survival following optic nerve axotomy or nerve crush using methods described in Sievers et al., Neurosci. Lett. 76:157, 1987; Carmignoto et al., J. Neurosci. 2:1263, 1989; Mey and Thanos, Brain Res. 602:304, 1993. Briefly, 4-to-6 week old mice are anesthetized, the optic nerve exposed and crushed intraorbitally 2-4 mm posterior to the optic disk between fine forceps for 30-60 sec. Alternatively, the nerve is transected surgically. Administration of neuregulin by intravitreal or subretinal injection is done after the animals recover from surgery using a therapeutically effective dose. The survival of retinal ganglion cells is assessed at several time points between 3 days and 6 weeks after injection by histological analysis (Method H1) or by immunostaining using antibodies that recognize retinal ganglion cells as described herein.

### In Vivo Method V

Ischemia can be produced in the retina of the albino Lewis rat by raising intraocular pressure by intraocular injection of saline (Unoki and LaVail, *Invest Ophthalmol Vis. Sci.* 35:907, 1994). The thickness of the inner retinal layer is reduced due to loss of retinal ganglion cells when retinas are analyzed histologically (Method H1) at 7 days postischemia. An intravitreal injection of a therapeutically effective amount of neuregulin given two days prior to ischemia can reduce the ischemic damage.

### In Vivo Method VI

35

30

Other compounds, in particular peptides, which specifically bind and/or activate erbB receptors also can be used according to the invention as effectors of retinal cell

function. A candidate compound can be routinely screened for erbB receptor binding, and if it binds, can then be screened for affecting retinal cell function using the methods described herein.

#### 5 In Vivo Method VII

10

15

20

25

30

35

Inadequate amounts of survival-promoting factors can lead to degenerative eye disorders, such as macular degeneration. The present invention demonstrates the survivalpromoting activity of neuregulin indicating that these factors may be used to promote retinal cell survival in a vertebrate (preferably a mammal, more preferably a human) by administering to the vertebrate an effective amount of a polypeptide or a related compound. Neuregulin effects on retinal cells may occur, for example, by preventing the extent of naturally-occurring programmed cell death that occurs during the embryonic development of the retina. In a rat model, retinal ischemia can be induced by increasing intraocular pressure via injection of saline into the eye (Buchi et al., Ophthalmologic. 203:138, 1991; Hughes, Exp. Eye Res. 53:573, 1991). This model has been used to evaluate the efficacy of bFGF, CNTF and BDNF in decreasing neuronal loss (Unoki and LaVail, Invest. Ophthalmol. Vis. Sci. 35:907, 1994). Neuregulins administered by intraocular injection can be shown to decrease neuronal loss associated with retinal ischemia in this animal model. Neuregulin effects on retinal cell survival can be shown in genetic and transgenic mouse models for Retinitis Pigmentosa (rp). Histological analysis (Method H1) of the retina of rp mice following intravitreal administration of neuregulin can be used to rescue retinal cell degeneration.

The demonstration of biological activity of the neuregulins by promoting retinal cell function in any of the animal models described above indicates efficacy in treating disorders of the eye. A variety of retinal diseases and related disorders are known that produce impaired vision and in some cases progress to total blindness. These disorders of the eye include, but are not necessarily limited to: various retinopathies, such as hypertensive retinopathy, diabetic retinopathy and occlusive retinopathy; also injuries and disorders resulting in retinal degeneration, such as retinal tearing and detachment and inherited diseases, such as retinitis pigmentosa; also age-related macular degeneration (ARMD) and related diseases, such as idiopathic central serous chorioretinopathy, central areolar choroidal dystrophy, macular holes, macular coloboma, Stargardt hereditary dystrophy, trauma, diabetic circinate maculopathy, angioid streaks and choroidal neovascularization. presumed ocular histoplasmosis and choroidal neovascularization, angiomatosis retinae, choroidal rupture and choroidal neovascularization, toxoplasmosis and choroidal

neovascularization: diseases of the optic nerve; and glaucoma and retinal ischemia. Thus, administration of neuregulin in a therapeutically effective amount can provide a treatment for disorders of the eye, which otherwise left untreated would result in the loss of sight.

The invention includes the use of any modifications or equivalents of the above polypeptide factors which do not exhibit a significantly reduced activity related to affecting retinal cell function. For example, modifications in which amino acid content or sequence is altered without substantially adversely affecting activity are included. The statements of effect and use contained herein are therefore to be construed accordingly, with such uses and effects employing modified or equivalent factors being part of the invention.

The invention includes the use of the above named family of proteins (i.e. neuregulins) as extracted from natural sources (tissues or cell lines) or as prepared by recombinant means.

15

20

25

30

10

5

The human peptide sequences described above represent a series of splicing variants which can be isolated as full length complementary DNAs (cDNAs) from natural sources (cDNA libraries prepared from the appropriate tissues) or can be assembled as DNA constructs with individual exons (e.g., derived as separate exons) by someone skilled in the art.

The invention includes methods for the use of any protein which is substantially homologous to the coding segments in Figure 13, as well as other naturally occurring neuregulin polypeptides for the purpose of promoting retinal cell function. Also included are the use of: allelic variations; natural mutants; induced mutants; proteins encoded by DNA that hybridizes under high or low stringency conditions to a nucleic acid naturally occurring (for definitions of high and low stringency see *Current Protocols in Molecular Biology*, (1989) John Wiley & Sons. New York, NY. 6.3.1 - 6.3.6, hereby incorporated by reference): and the use of polypeptides or proteins specifically bound by antisera to GGF polypeptides. The term also includes the use of chimeric polypeptides that include the GGF polypeptides comprising sequences from Figure 13.

### Use of Neuregulins

A novel aspect of the invention involves the use of neuregulins as factors to promote retinal cell function. Treatment of the cells to achieve these effects may be achieved by contacting cells with a polypeptide described herein.

The methods of the invention make use of the fact that the neuregulin proteins are encoded by the same gene. A variety of messenger RNA splicing variants (and their resultant proteins) are derived from this gene and many of these products show binding to erbB receptors and activation of the same. This invention provides a use for all of the known products of the neuregulin gene (described herein and in the references listed above). Most preferably, recombinant human GGF2 (rhGGF2) is used in these methods.

The invention also relates to the use of other, not yet naturally isolated, splicing variants of the neuregulin gene. Figure 12 shows the known patterns of splicing. These patterns are derived from polymerase chain reaction experiments (on reverse transcribed RNA), analysis of cDNA clones (as presented within), and analysis of published sequences encoding neuregulins (Peles et al., Cell 69:205, 1992 and Wen et al., Cell 69:559, 1992). These patterns, as well as additional patterns disclosed herein, represent probable splicing variants which exist. The splicing variants are fully described in Goodearl et al., USSN 08/036,555, filed March 24, 1993, incorporated herein by reference.

More specifically, effects on retinal cell function may be achieved by contacting cells with a polypeptide defined by the formula

WYBAZCX

wherein WYBAZCX is composed of the polypeptide segments shown in Figure 13; wherein W comprises the polypeptide segment F, or is absent; wherein Y comprises the polypeptide segment E, or is absent; wherein Z comprises the polypeptide segment G, or is absent; and wherein X comprises the polypeptide segment C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D' HKL, C/D C/D' D' HKL.

Furthermore, the invention includes a method of treating retinal cells by the application to the retinal cell of a

- -30 kD polypeptide factor isolated from the MDA-MB 231 human breast cell line; or
- $-35~\mathrm{kD}$  polypeptide factor isolated from the rat I-EJ transformed fibroblast cell line to the glial cell; or
  - -75 kD polypeptide factor isolated from SKBR-3 human breast cell line; or
  - -44 kD polypeptide factor isolated from the rat I-EJ transformed fibroblast cell line:
  - -25 kD polypeptide factor isolated from activated mouse peritoneal macrophages; or

5

10

15

20

25

30

35

or

-45 kD polypeptide factor isolated from the MDA-MB 231 human breast cell; or

-7 to 14 kD polypeptide factor isolated from the ATL-2 human T-cell line to the glial cell: or

-25 kD polypeptide factor isolated from the bovine kidney cells; or

-42 kD ARIA polypeptide factor isolated from brain; or

-46-47 kD polypeptide factor which stimulates 0-2A glial progenitor cells: or

-43-45 kD polypeptide factor, GGFIII. U.S. patent application Serial No. 07/931,041. filed August 17, 1992, incorporated herein by reference.

The invention includes use of any modifications or equivalents of the above polypeptide factors which do not exhibit a significantly reduced activity. For example, modifications in which amino acid content or sequence is altered without substantially adversely affecting activity are included. The statements of effect and use contained herein are therefore to be construed accordingly, with such uses and effects employing modified or equivalent factors being part of the invention.

The human peptide sequences described above and presented in Figs. 13, 14, 15, and 16, respectively, represent a series of splicing variants which can be isolated as full-length complementary DNAs (cDNAs) from natural sources (cDNA libraries prepared from the appropriate tissues) or can be assembled as DNA constructs with individual exons (e.g., derived as separate exons) by someone skilled in the art.

Another aspect of the invention is the use of a pharmaceutical or veterinary formulation comprising any factor as defined above formulated for pharmaceutical or veterinary use, respectively, optionally together with an acceptable diluent, carrier or excipient and/or in unit dosage form. In using the factors of the invention, conventional pharmaceutical or veterinary practice may be employed to provide suitable formulations or compositions.

A medicament is made by administering the polypeptide with a pharmaceutically effective carrier. Neuregulins can be administered intravitreally by insertion of a needle through the sclera, choroid and retina and then injection of factor formulated in an appropriate vehicle for administration. The factor may also be delivered subretinally by a transpleural injection. There is also the option of delivering the factor intraocularly using ethylene-vinyl acetate copolymer implants or by delivery to the corneal surface via eye drops.

5

10

15

20.

25

30

Thus, the formulations to be used as a part of the invention can be applied to parenteral administration, for example, intravenous, subcutaneous, intramuscular, intraorbital, ophthalmic, intraperitoneal, topical, intranasal, aerosol, transdermal and by other slow release devices (i.e., osmotic pump-driven devices; see also USSN 08/293,465, hereby incorporated by reference).

The formulations of this invention may also be administered by the transplantation into the patient of host cells expressing the DNA encoding polypeptides which are effective for the methods of the invention or by the use of surgical implants which release the formulations of the invention.

Parenteral formulations may be in the form of liquid solutions or suspensions; for oral administration, formulations maybe in the form of tablets or capsules; and for intranasal formulations, in the form of powders, nasal drops, or aerosols.

15

20

25

10

5

Methods well-known in the art for making formulations are to be found in, for example, "Remington's Pharmaceutical Sciences." Formulations for parenteral administration may, for example, contain as excipients sterile water or saline, polyalkylene glycols such as polyethylene glycol, oils of vegetable origin, or hydrogenated naphthalenes, biocompatible, biodegradable lactide polymer, or polyoxyethylene-polyoxypropylene copolymers may be used to control the release of the present factors. Other potentially useful parenteral delivery systems for the factors include ethylene-vinyl acetate copolymer particles, osmotic pumps, implantable infusion systems, and liposomes. Formulations for inhalation may contain as excipients, for example, lactose, or may be aqueous solutions containing, for example, polyoxyethylene-9-lauryl ether, glycocholate and deoxycholate, or may be oily solutions for administration in the form of nasal drops, or as a gel to be applied intranasally. Formulations for parenteral administration may also include glycocholate for buccal administration, methoxysalicylate for rectal administration, or citric acid for vaginal administration.

30

The present factors can be used as the sole active agents, or can be used in combination with other active ingredients, e.g., other growth factors which could facilitate neuronal survival in neurological diseases, or peptidase or protease inhibitors.

The concentration of the present factors in the formulations of the invention will vary depending upon a number of issues, including the dosage to be administered, and the route of administration.

15

20

25

30

35

In general terms, the factors of this invention may be provided in an aqueous physiological buffer solution containing about 0.1 to 10% w/v compound for parenteral administration. General dose ranges are from about 1 µg/kg to about 1g/kg of body weight per day; a preferred dose range is from about 0.01 mg/kg to 100 mg/kg of body weight per day. The preferred dosage to be administered is likely to depend upon the type and extent of progression of the pathophysiological condition being addressed, the overall health of the patient, the make up of the formulation, and the route of administration.

A further general aspect of the invention is the use of a factor of the invention in the manufacture of a medicament, preferably for the treatment of a disease or disorder. The "GGF2" designation is used for all clones which were previously isolated with peptide sequence data derived from GGF-II protein (i.e., GGF2HBS5, GGF2BPP3) and, when present alone (i.e., GGF2 OR rhGGF2), to indicate recombinant human protein encoded by plasmids isolated with peptide sequence data derived from the GGF-II protein (i.e., as produced in insect cells from the plasmid HBS5). Recombinant human GGF from the GGFHBS5 clone is called GGF2, rhGGF2 and GGF2HBS5 polypeptide.

Methods for treatment of diseases or disorders using nucleic acid constructs encoding neuregulins or neuregulin producer cells are also part of the invention.

Delivery of DNA to a cell or tissue that will take up the DNA. express the DNA and produce neuregulin as shown by Wolff et al., (Science 247:1465, 1990) and Ascadi et al., (Nature 352:815, 1991) is an aspect of the invention. Genetic modification of cultured cells (or their precursors) such as fibroblasts (as shown by Wolff et al. Proc. Nat'l Acad. Sci. USA 86:1575, 1988) or such as those derived from the nervous system (as shown by Weiss et al. International Patent Application number PCT/US94/01053; publication number WO 94/16718) to induce the production of neuregulin from the cultured cells is another aspect of this invention. The genetically modified neuregulin producer cells can be transplanted to a position near the retinal cell type and elicit the responses described above.

#### **Other Embodiments**

The invention includes methods for the use of any protein which is substantially homologous to the coding segments in Figure 13 as well as other naturally occurring GGF or neuregulin polypeptides for the purpose of promoting retinal cell function. Also included are the use of: allelic variations: natural mutants; induced mutants; proteins encoded by

DNA that hybridizes under high or low stringency conditions to a nucleic acid naturally occurring (for definitions of high and low stringency see *Current Protocols in Molecular Biology*, John Wiley & Sons, New York, 1989; 6.3.1-6.3.6, hereby incorporated by reference); and the use of polypeptides or proteins specifically bound by antisera to GGF polypeptides. The term also includes the use of chimeric polypeptides that include the GGF polypeptides comprising sequences from Figure 11 for the promotion of retinal cell function.

As will be seen from Example 2, below, the present factors exhibit survival activity on retinal cells. The general statements of invention above in relation to formulations and/or medicaments and their manufacture should clearly be construed to include appropriate products and uses.

A series of experiments follow which provide additional basis for the claims described herein. The following examples relating to the present invention should not be construed as specifically limiting the invention, or such variations of the invention, now known or later developed.

The examples illustrate our discovery that recombinant human GGF2 (rhGGF2) confers survival effects on retinal cell culture. These activities indicate efficacy of GGF2 and other neuregulins in inducing wound repair and repair of other retinal tissue damage, and promoting regeneration and prophylactic effects on retinal tissue degeneration.

5

10

15

20

#### **EXAMPLES**

The following examples are designed to illustrate certain aspects of the present invention. The examples are not intended to be comprehensive of all embodiments of the present invention, and should not be construed as limiting the claims presented herein.

# Example 1: Neuregulin expression in embryonic and adult retina.

Neuregulin is expressed in the retina of the developing embryo and in adult rat. The
pattern of expression has been demonstrated by in situ hybridization (Figure 3) and also by
immunostaining (Figures 2 and 4). Expression is detected in the retinal ganglion cell layer.
The expression occurs at a point in development when the retinal layers are undergoing
differentiation and when the retinal ganglion cells are extending their axons and making
connections to target of innervation in the brain (lateral geniculate and superior colliculus).

The timing and distribution of neuregulin gene products in the retina suggests the
neuregulins have a role in the development and/or maintenance of the cells in the retina and
their associated tissues.

#### Methods

20

5

In situ hybridization (see Figure 3). Ten micron frozen section was incubated with a single-stranded digoxigenin-labeled riboprobe (antisense strand) encoding the EGF-like domain through the cytoplasmin domain of the rat cDNA clone GGFRP3 (Marchionni et al., Nature 362:312, 1993).

25

Immunostaining. Ten micron frozen section of embryonic day 16 rat retina was incubated in CN16 (anti-rhGGF2) antibody at approximately 10 mg/ml for 12 hours, and the antibody binding was revealed using indirect immunohistochemistry with a peroxidase conjugated secondary antibody (see Figure 2).

30

35

Ten micron frozen section from an adult rat retina was incubated in CN16 as described in Figure 1, except that a fluorescein conjugated secondary antibody was used to reveal the binding of the primary antibody (see Fig 4). Neuregulin immunoreactivity is present in the synaptic layers of the retina, where the processes of the retinal ganglion cells connect with the retinal interneurons (inner plexiform layer, large arrows) and in the outer plexiform layer (small arrows, where the processes of the photoreceptors make synapses with the second order retinal neurons, the bipolar cells and the horizontal cells).

Ten micron section from a newborn rat retina incubated with TUJ1 antibody, a mouse monoclonal antibody that recognizes neuron-specific beta -tubulin (from Dr. A. Frankfurter, UVA). The antibody binding was revealed by indirect immunohistochemistry with a fluorescein conjugated secondary antibody (see Figure 5).

5

10

15

# Example 2: Neuregulin (rhGGF2) promotes survival of retinal cells in vitro.

Embryonic and newborn rat retinal cells were cultured for 2 days on collagen gel coated coverslips and then fixed and labeled with an antibody (TUJ1) that identifies primarily retinal ganglion cells at these stages of development (see Figure 6). All the labeled cells with processes on the sample coverslips were counted. Final concentrations of rhGGF2 in the culture wells ranged from 0.01 to 100 ng/ml. No clear dose response was observed, so the data from all rhGGF2 treated wells was combined for the analysis. Three separate experiments with embryonic day 18 cells all showed an increase in the number of TUJ1 immunoreactive cells with processes after two days in vitro (see Figure 7). Unpaired sample student's T-test showed that the increases in cell survival were statistically significant with p<0.004 and p<0.012. Two experiments with embryonic day 15 cells and one experiment with newborn rat retinal cells did not show any significant differences from control (see Figure 8). From these observations we conclude that rhGGF2 promotes rat retinal cell survival in cell culture in an age-dependent manner. This age-dependence could represent either a changing requirement for this factor of a specific retinal cell population or a change in the relative number of the responsive cells in the population during these developmental stages. When assayed either alone or in combination with EGF, rhGGF2 had no mitogenic activity retinal cells in vitro at any of the ages tested.

25

30

20

## Methods

Embryonic rat retinal cell were dissociated and plated at low density on collagen gels and allowed to survive for two days with 10 ng/ml rhGGF2 (neuregulin) added to the medium. After fixation in 4% paraformaldehyde, the cells were stained with TUJ1 antibody to reveal the full extent of their processes and all the cells that survived for two days with intact, non-fragmented processes were counted.

)

#### **CLAIMS**

#### What is claimed is:

1. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide defined by the formula

#### **WYBAZCX**

wherein WYBAZCX is composed of the polypeptide segments shown in Figure 13: wherein W comprises polypeptide segment F, or is absent; wherein Y comprises polypeptide segment E, or is absent; wherein Z comprises polypeptide segment G, or is absent; and wherein X comprises polypeptide segments C/D HKL, C/D HL, C/D D, C/D' HKL, C/D' HKL, C/D HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' HKL, C/D C/D' D, C/D D' HL, C/D D' HKL, C/D D' HKL, C/D' D' HKL, C/D' D' HKL.

15

10

5

- 2. A method of claim 1, wherein X is C/D HKL.
- 3. A method of claim 1, wherein X is C/D H.
- 20 4. A method of claim 1, wherein X is C/D HL.
  - 5. A method of claim 1, wherein X is C/D D.
  - 6. A method of claim 1, wherein X is C/D' HL.

25

- 7. A method of claim 1, wherein X is C/D' HKL.
- 8. A method of claim 1, wherein X is C/D' H.
- 30
- 9. A method of claim 1, wherein X is C/D' D.
- 10. A method of claim 1, wherein X is C/D C/D' HKL.
- 11. A method of claim 1, wherein X is C/D C/D' H.

35

12. A method of claim 1, wherein X is C/D C/D' HL.

20

13.	A method of	claim 1,	wherein X	is	C/D	C/D, I	<b>)</b> .
-----	-------------	----------	-----------	----	-----	--------	------------

- 14. A method of claim 1, wherein X is C/D D' H.
- 5 15. A method of claim 1, wherein X is C/D D' HL.
  - 16. A method of claim 1, wherein X is C/D D' HKL.
  - 17. A method of claim 1, wherein X is C/D' D' H.
  - 18. A method of claim 1, wherein X is C/D' D' HL.
  - 19. A method of claim 1, wherein X is C/D' D' HKL.
- 15 20. A method of claim 1, wherein X is C/D C/D' D' H.
  - 21. A method of claim 1, wherein X is C/D C/D' D' HL.
  - 22. A method of claim 1, wherein X is C/D C/D' D' HKL.
  - 23. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide comprising FBA polypeptide segments having the amino acid sequences shown in Figure 11.
- 24. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide comprising FBA' polypeptide segments having the amino acid sequences shown in Figure 11.
- 25. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide comprising FEBA polypeptide segments having the amino acid sequences shown in Figure 11.
  - 26. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide comprising FEBA' polypeptide segments having the amino acid sequences shown in Figure 11 to said retinal cells.

35

- 27. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with GGF2 polypeptide.
- 28. The method of claim 27, wherein said GGF2 is recombinant human GGF2.

29. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a compound which binds with erbB receptors of said retinal cells.

10

30. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide comprising EGFL1, having the amino acid sequence shown in Figure 18.

15

31. A method of treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide comprising EGFL2, having the amino acid sequence shown in Figure 19.

20

32. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide comprising EGFL3, having the amino acid sequence shown in Figure 20.

25

33. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a polypeptide comprising EGFL4, having the amino acid sequence shown in Figure 21.

contacting said retinal cells with a polypeptide comprising EGFL5, having the amino acid sequence shown in Figure 22.

35. A method for treating retinal cells of a mammal, said method comprising

34. A method for treating retinal cells of a mammal, said method comprising

30

contacting said retinal cells with a polypeptide comprising EGFL6, having the amino acid sequence shown in Figure 23.

.35

36. A method for treating retinal cells of a mammal, said method comprising contacting a 45 kD polypeptide factor isolated from the rat I-EJ ras-transformed fibroblast cell line to said retinal cells.

15

20

25

30

35

- 37. A method for treating retinal cells of a mammal, said method comprising contacting a 75 kD polypeptide factor isolated from SKBR-3 human breast cell line to said retinal cells.
- 38. A method for treating retinal cells of a mammal, said method comprising contacting a 45 kD polypeptide factor isolated from the MDA-MB231 human breast cell line to said retinal cells.
  - 39. A method for treating retinal cells of a mammal, said method comprising contacting a 7 to 14 kD polypeptide factor isolated from the ATL-2 human T-cell line to said retinal cells.
    - 40. A method for treating retinal cells of a mammal, said method comprising contacting a 25 kD polypeptide factor isolated from activated mouse peritoneal macrophages to said retinal cells.
    - 41. A method for treating retinal cells of a mammal, said method comprising contacting a 25 kD polypeptide factor isolated from bovine kidney to said retinal cells.
    - 42. A method for treating retinal cells of a mammal, said method comprising contacting an ARIA polypeptide to said retinal cells.
    - 43. A method for treating retinal cells of a mammal, said method comprising contacting a 46-47 kD polypeptide factor known to stimulate 0-2A glial progenitor cells to said retinal cells.
    - 44. A method for treating retinal cells of a mammal, said method comprising contacting GGF-III to said retinal cells.
    - 45. A method for treating retinal cells of a mammal, said method comprising administration to said mammal of a DNA sequence encoding a polypeptide of the formula

#### WYBAZCX

wherein WYBAZCX is composed of the polypeptide segments shown in Figure 13; wherein W comprises polypeptide segment F, or is absent; wherein Y comprises polypeptide segment E, or is absent; wherein Z comprises polypeptide segment G, or

10

15

25

30

35

is absent; and wherein X comprises polypeptide segments C/D HKL, C/D H, C/D HL, C/D D, C/D' HL, C/D' HKL, C/D' H, C/D' D, C/D C/D' HKL, C/D C/D' H, C/D C/D' HL, C/D C/D' D, C/D D' HL, C/D D' HL, C/D D' HKL, C/D' D' HKL, said DNA in an expressible genetic construction.

- 46. A method for treating retinal cells of a mammal, said method comprising contacting said retinal cells with a therapeutically effective amount of a neuregulin polypeptide.
- 47. A method for the prophylaxis or treatment of pathophysiological condition of retinal cells in a mammal in which said condition involves a retinal cell type which is sensitive or responsive to a neuregulin polypeptide, said method comprising administration of a therapeutically effective amount of said neuregulin polypeptide.
- 48. A method for the treatment of a condition which involves retinal cell damage in a mammal, said method comprising contacting said retinal cell with an effective amount of a neuregulin polypeptide.
- 20 49. The method of any one of claims 1 through 28, wherein a result of said treating is decreased atrophy of said retinal cells.
  - 50. The method on any one of the claims 1 through 28, wherein a result of said treating is an increase of said retinal cells present in said mammal.
  - 51. The method on any one of the claims 1 through 28, wherein a result of said treating is an increase in said retinal cells survival in said mammal.
  - 52. A method of any one of the claims 1 through 28, wherein said retinal cells are in a mammal with a retinal cell disease.
  - 53. A method of claim 52, wherein said retinal cell disease is a retinopathy.
  - 54. A method of claim 53, wherein said retinopathy is hypertensive retinopathy.
  - 55. A method of claim 53, wherein said retinopathy is diabetic retinopathy.

56. A method of claim 53, wherein said retinopathy is occlusive retinopathy.

- 57. A method of claim 52, wherein said retinal cell disease is retinal degeneration.
- 5 58. A method of claim 57, wherein said retinal degeneration is caused by injury.
  - 59. A method of claim 57, wherein said retinal degeneration is caused by a genetic disorder.
- 10 60. A method of claim 59, wherein said genetic disorder is retinitis pigmentosa.
  - 61. A method of claim 57, wherein said retinal degeneration is age related macular degeneration.
- 62. A method of claim 52, wherein said retinal disease is caused by elevated intraocular pressure.
  - 63. A method of claim 52, wherein said retinal disease is caused by an optic neuropathy.
  - 64. A method for the prophylaxis or treatment of a pathophysiological condition of a retina in a vertebrate in which said condition involves a retinal cell type which is sensitive or responsive to a neuregulin polypeptide, said method comprising administration to said vertebrate of a therapeutically effective amount of said neuregulin polypeptide.
  - 65. A method of claim 53, wherein said condition involves retinal cell damage.
- 66. A method of any one of claims 1 through 28, wherein said retinal cell is a retinal ganglion cell.
  - 67. A method of any one of claims 1 through 28, wherein said retinal cell is an amacrine cell.
- 68. A method of any one of claims I through 28, wherein said retinal cell is a horizontal cell.

20

25

69. A method of any one of claims 1 through 28, wherein said retinal cell is a bipolar cell.

- 70. A method of any one of claims 1 through 28, wherein said retinal cell is a photoreceptor cell.
- 71. A method of any one of claims 1 through 28, wherein said retinal cell is a pigment cell.
- 72. A method of treating retinal cells of a mammal, said method comprising contacting an N-ARIA polypeptide to said retinal cells.

5

Figure 1

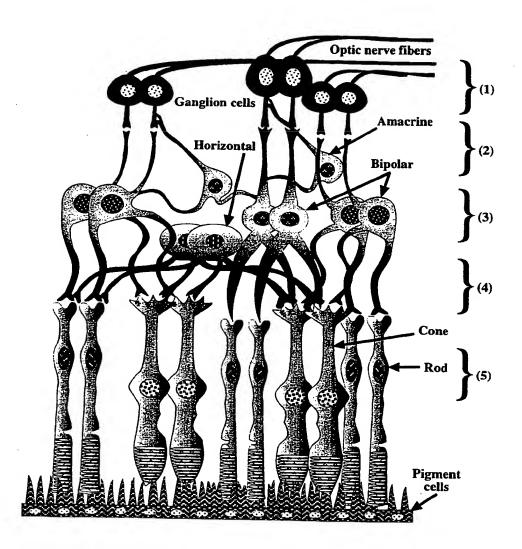
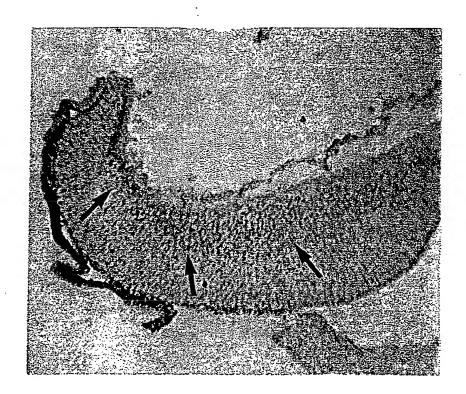


Figure 2



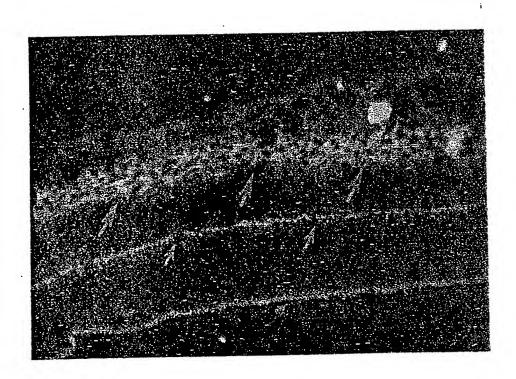
2/52 SUBSTITUTE SHEET (RULE 26)

# Figure 3



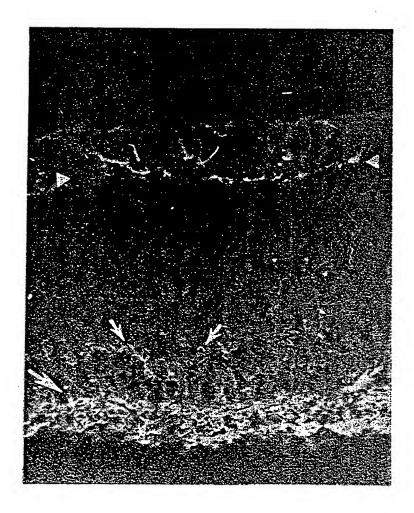
3/52 SUBSTITUTE SHEET (RULE 26)

Figure 4



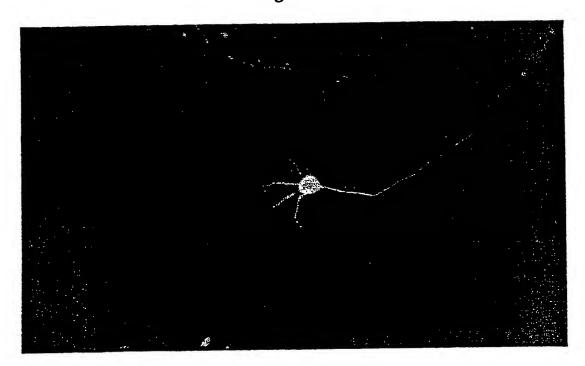
4/52 SUBSTITUTE SHEET (RULE 26)

Figure 5



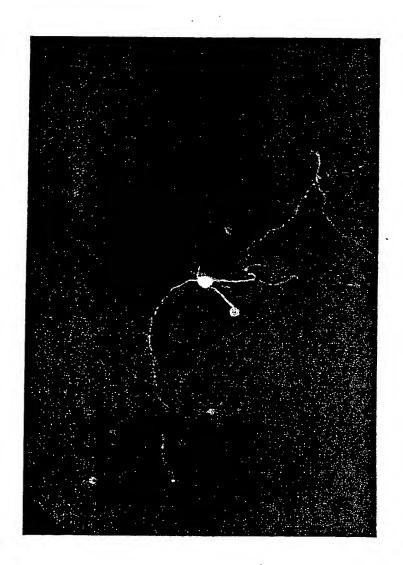
5/52 SUBSTITUTE SHEET (RULE 26)

Figure 6



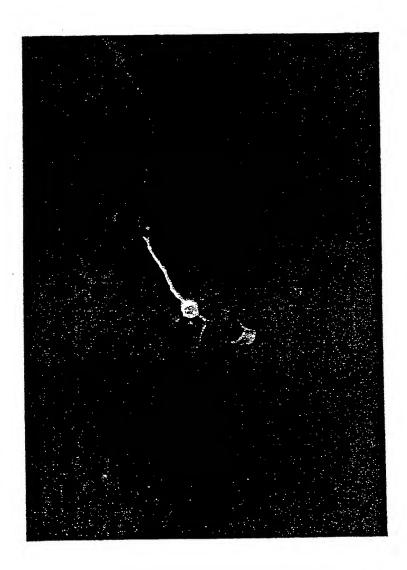
6/52 SUBSTITUTE SHEET (RULE 26)

Figure 7



7/52 SUBSTITUTE SHEET (RULE 26)

Figure 8



8/52 SUBSTITUTE SHEET (RULE 26)

Figure 9

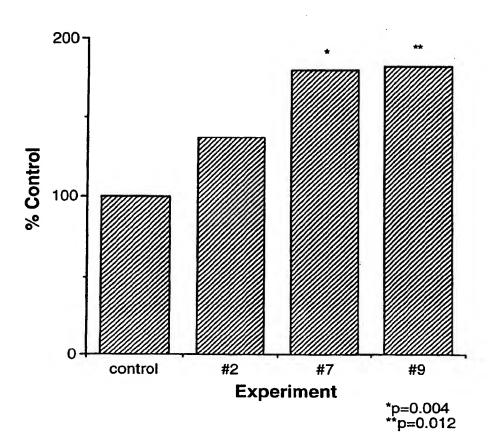
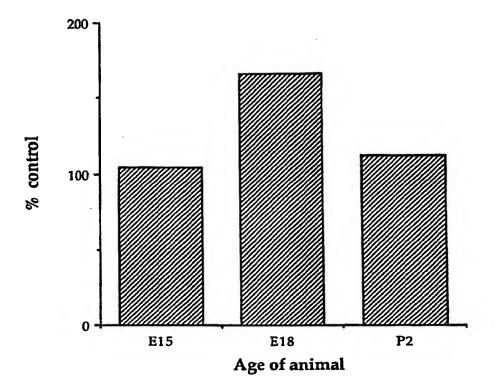


Figure 10



# Figure 11 A

CCT	GCAG	CAT His 1	CAA Gln	GTG Val	TGG Trp	GCG Ala 5	GCG Ala	AAA Lys	GCC Ala	GGG Gly	GGC Gly 10	TTG Leu	AAG Lys	AAG Lys	GAC Asp	TCG Ser 15	CTG	55
CTC Leu	ACC Thr	GTG Val	CGC Arg 20	CTG Leu	GGC Gly	GCC Ala	TGG Trp	GGC Gly 25	CAC His	CCC Pro	GCC Ala	TTC Phe	CCC Pro 30	TCC Ser	TGC Cys			103
GGG Gly	CGC Arg	CTC Leu 35	AAG Lys	GAG Glu	GAC Asp	AGC Ser	AGG Arg 40	TAC Tyr	ATC Ile	TTC Phe	TTC Phe	ATG Met 45	GAG Glu	CCC Pro	GAG Glu			151
GCC Ala	AAC <u>Asn</u> 50	AGC	AGC Ser	GGC Gly	GGG Gly	CCC Pro 55	GGC	CGC Arg	CTT Leu	CCG Pro	AGC Ser 60	CTC	CTT Leu	CCC Pro	CCC Pro			199
TCT Ser 65	CGA Arg	GAC Asp	GGG Gly	CCG Pro	GAA Glu 70	CCT Pro	CAA Gln	GAA Glu	GGA Gly	GGT Gly 75	CAG Gln	CCG Pro	GGT Gly	GCT Ala	GTG Val 80			247
CAA Gln	CGG Arg	TGC Cys	GCC Ala	TTG Leu 85	CCT Pro	CCC Pro	CGC Arg	TTG Leu	AAA Lys 90	GAG Glu	ATG Met	AAG Lys	AGT Ser	CAG Gln 95	GAG Glu			295
TCT Ser	GTG Val	GCA Ala	GGT Gly 100	TCC Ser	AAA Lys	CTA Leu	Val	CTT Leu 105	CGG Arg	TGC Cys	GAG Glu	Thr	AGT Ser 110	TCT Ser	GAA Glu			343
TAC Tyr	TCC Ser	TCT Ser 115	CTC Leu	AAG Lys	TTC Phe	AAG Lys	TGG Trp 120	TTC Phe	AAG Lys	AAT Asn	GGG Gly	AGT Ser 125	GAA Glu	TTA Leu	AGC Ser			391
Arg	AAG Lys 130	AAC Asn	AAA Lys	CCA Pro	GAA Glu	AAC Asn 135	ATC Ile	AAG Lys	ATA Ile	CAG Gln	AAA Lys 140	AGG Arg	CCG Pro	GGG Gly	AAG Lys			439
TCA Ser 145	GAA Glu	CTT Leu	CGC Arg	ATT Ile	AGC Ser 150	AAA Lys	GCG Ala	TCA Ser	CTG Leu	GCT Ala 155	GAT Asp	TCT Ser	GGA Gly	GAA Glu	TAT Tyr 160			487
ATG Met	TGC Cys	AAA Lys	GTG Val	ATC Ile 165	AGC Ser	AAA Lys	CTA Leu	GGA Gly	AAT <u>Asn</u> 170	GAC Asp	AGT Ser	GCC Ala	TCT Ser	GCC Ala 175	AAC <u>Asn</u>			535
					TCA Ser													583
TCT Ser	CAG Gln	TCT Ser 195	CTA Leu	AGA Arg	GGA Gly	GTG Val	ATC Ile 200	AAG Lys	GTA Val	TGT Cys	GGT Gly	CAC His 205	ACT Thr					625
TGAA	TCAC	GC P	GGTG	TGTC	A AA	TCTC	ATTO	TGA	ACA	ATA	<u>AA</u> A.	ATCA:	rga i	AAGG2	AAAA	AΑ		685
AAAA	AAAA	AA A	ATCG	ATGI	C GA	CTCC	AGAT	GTG	GCTC	CAG	GTC	SACTO	TA (	GAGG	ATCC	3		744

# Figure 11 B

CCTGCA		Gln													55
CTC AC Leu Th															103
GGG CG Gly Ar															151
GCC AA Ala <u>Ly</u> 50	s Ser														199
TCT CO Ser Ar 65															247
CAA CG Gln Ar														٠	295
TCT GI Ser Va						Val					Thr				343
TAC TO Tyr Se		Leu													391
CGA AA Arg Ly 13	s Asn														439
TCA GA Ser Gl 145															487
ATG TG Met Cy		Val	Ile	Ser	Lys	Leu	Gly	Asn	Asp	Ser	Ala	Ser	<u>Asn</u>		535

# Figure 11 B'

ATC ACC ATT GTG GAG TCA AAC GCC ACA TCC ACA TCT ACA GCT GGG ACA  Ile Thr Ile Val Glu Ser Asn Ala Thr Ser Thr Ser Thr Ala Gly Thr  180 185 190	583
AGC CAT CTT GTC AAG TGT GCA GAG AAG GAG AAA ACT TTC TGT GTG AAT Ser His Leu Val Lys Ser Ala Glu Lys Glu Lys Thr Phe Cys Val Asn 195 200 205	633
GGA GGC GAG TGC TTC ATG GTG AAA GAC CTT TCA AAT CCC TCA AGA TAC Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr 210 220	679
TTG TGC AAG TGC CAA CCT GGA TTC ACT GGA GCG AGA TGT ACT GAG AAT Leu Cys Lys Cys Gln Pro Gly Phe Thr Gly Ala Arg Cys Thr Glu Asn 230 235 240	. 727
GTG CCC ATG AAA GTC CAA ACC CAA GAA AGT GCC CAA ATG AGT TTA CTG Val Pro Met Lys Val Gln Thr Gln Glu Ser Ala Gln Met Ser Leu Leu 245 250 255	775
GTG ATC GCT GCC AAA ACT ACG TAATGGCCAG CTTCTACAGT ACGTCCACTC Val Ile Ala Ala Lys Thr Thr 260	826
CCTTTCTGTC TCTGCCTGAA TAGCGCATCT CAGTCGGTGC CGCTTTCTTG TTGCCGCATC	886
TCCCCTCAGA TTCCTCCTAG AGCTAGATGC GTTTTACCAG GTCTAACATT GACTGCCTCT	946
GCCTGTCGCA TGAGAACATT AACACAAGCG ATTGTATGAC TTCCTCTGTC CGTGACTAGT	1006
GGGCTCTGAG CTACTCGTAG GTGCGTAAGG CTCCAGTGTT TCTGAAATTG ATCTTGAATT	1066
ACTGTGATAC GACATGATAG TCCCTCTCAC CCAGTGCAAT GAC <u>AATAAA</u> G GCCTTGAAAA	1126
GTCAAAAAA AAAAAAAAA AAAAAATCGA TGTCGACTCG AGATGTGGCT GCAGGTCGAC	1186
TCTAGAG	1103

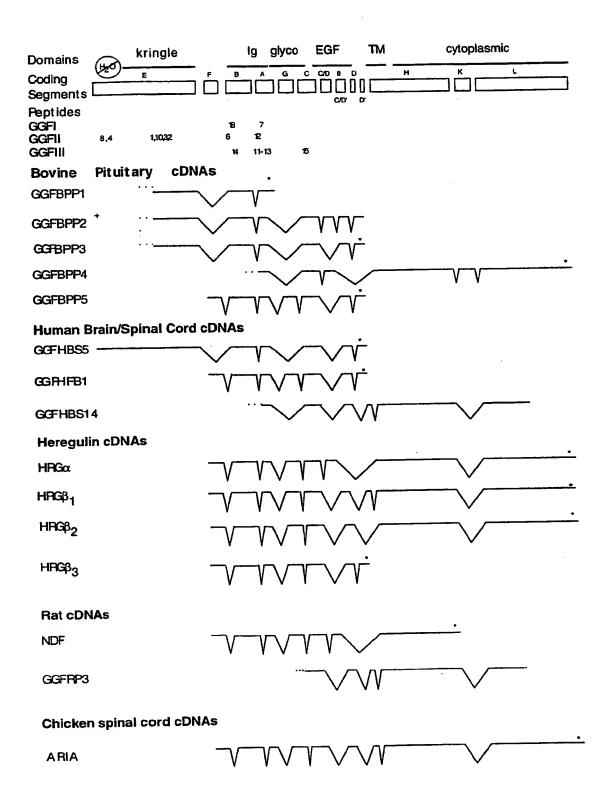
# Figure 11 C

CCTG	CAG	CAT His 1	CAA Gln	GTG Val	TGG Trp	GCG Ala 5	GCG Ala	AAA Lys	GCC Ala	GGG Gly	GGC Gly 10	TTG Leu	AAG Lys	AAG Lys	GAC Asp	TCG Ser 15	CTG Leu	55
CTC Leu	ACC Thr	GTG Val	CGC Arg 20	CTG Leu	GGC	GCC Ala	TGG Trp	GGC Gly 25	CAC His	CCC Pro	GCC Ala	TTC Phe	CCC Pro 30	TCC Ser	TGC Cys			103
GGG Gly	CGC Arg	CTC Leu 35	AAG Lys	GAG Glu	GAC Asp	AGC Ser	AGG Arg 40	TAC Tyr	ATC Ile	TTC Phe	TTC Phe	ATG Met 45	GAG Glu	CCC Pro	GAG Glu			151
GCC Ala	AAC <u>Asn</u> 50	AGC Ser	AGC Ser	GGC Gly	GGG	CCC Pro 55	GGC Gly	CGC Arg	CTT Leu	CCG Pro	AGC Ser 60	CTC Leu	CTT Leu	CCC Pro	CCC Pro			199
TCT Ser 65	CGA Arg	GAC Asp	GGG Gly	CCG Pro	GAA Glu 70	CCT Pro	CAA Gln	GAA Glu	GGA Gly	GGT Gly 75	CAG Gln	CCG Pro	GGT Gly	GCT Ala	GTG Val 80			247
CAA Gln	CGG Arg	TGC Cys	GCC Ala	TTG Leu 85	CCT Pro	CCC Pro	CGC Arg	TTG Leu	AAA Lys 90	GAG Glu	ATG Met	AAG Lys	AGT Ser	CAG Gln 95	GAG Glu			295
TCT Ser	GTG Val	GCA Ala	GGT Gly 100	TCC Ser	AAA Lys	CTA Leu	Val	CTT Leu 105	CGG Arg	TGC Cys	GAG Glu	Thr	AGT Ser 110	TCT Ser	GAA Glu	<b>,</b> L		343
TAC Tyr	TCC Ser	TCT Ser 115	Leu	AAG Lys	TTC Phe	AAG Lys	TGG Trp 120	TTC Phe	AAG Lys	AAT Asn	GGG Gly	AGT Ser 125	GIU	TTA Leu	AGC Ser	:		391
CGA Arg	AAG Lys 130	Asn	AAA Lys	CCA Pro	GAA Glu	AAC Asn 135	Ile	AAG Lys	ATA	CAG Gln	AAA Lys 140	Arg	Pro	GGG Pro	AAC Lys	;		439
TCA Ser 145	Glu	CTT Leu	CGC Arg	ATT Ile	AGC Ser 150	Lys	GCG Ala	TCA Ser	CTG Leu	GCT Ala 155	Asp	TCI Ser	GGA Gly	GAA Glu	TA: 1 Ty: 160	-		487

# Figure 11 C'

Met Cys Lys Val Ile Ser Lys Leu Gly <u>Asn Asp Ser</u> Ala Ser Ala <u>Asn</u> 165  170  175	535
ATC ACC ATT GTG GAG TCA AAC GCC ACA TCC ACA TCT ACA GCT GGG ACA  Ile Arg Ile Val Glu Ser Asn Ala Thr  180 185 190	583
AGC CAT CTT GTC AAG TGT GCA GAG AAG GAG AAA ACT TTC TGT GTG AAT Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn 195 200 205	631
GGA GGC GAG TGC TTC ATG GTG AAA GAC CTT TCA AAT CCC TCA AGA TAC Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr 210 215 220	679
TTG TGC AAG TGC CCA AAT GAG TTT ACT GGT GAT CGC TGC CAA AAC TAC Leu Cys Lys Cys Pro Asn Glu Phe Thr Gly Asp Arg Cys Gln Asn Tyr 235 240	727
GTA ATG GCC AGC TTC TAC AGT ACG TCC ACT CCC TTT CTG TCT CTG CCT Val Met Ala Ser Phe Tyr Ser Thr Ser Thr Pro Phe Leu Ser Leu Pro 245 250 255	775
GAA TAGCGCATCT CAGTCGGTGC CGCTTTCTTG TTGCCGCATC TCCCCTCAGA TTCCGCCTAG	838
AGCTAGATGC GTTTTACCAG GTCTAACATT GACTGCCTCT GCCTGTCGCA TGAGAACATT	898
AACACAAGCG ATTGTATGAC TTCCTCTGTC CGTGACTAGT GGGCTCTGAG CTACTCGTAG	958
GTGCGTAAGG CTCCAGTGTT TCTGAAATTG ATCTTGAATT ACTGTGATAC GACATGATAG	1018
TCCCTCTCAC CCAGTGCAAT GACAATAAAG GCCTTGAAAA GTCAAAAAAA AAAAAAAAAA	1078
AAAAATCGAT GTCGACTCGA GATGTGGCTG	1108

Figure 12



## Figure 13 A

CODING SEGMENT F:	
AGTTTCCCCC CCCAACTTGT CGGAACTCTG GGCTCGCGCG CAGGGCAGGA GCGGAGCGGC	60
GGCGGCTGCC CAGGCGATGC GAGCGCGGCC CGGACGGTAA TCGCCTCTCC CTCCTCGGGC	120
TGCGAGCGCG CCGGACCGAG GCAGCGACAG GAGCGGACCG CGGCGGGAAC CGAGGACTCC	180
CCAGCGGCGC GCCAGCAGGA GCCACCCCGC GAGNCGTGCG ACCGGGACGG AGCGCCCGCC	240
AGTCCCAGGT GGCCCGGACC GCACGTTGCG TCCCCGCGCT CCCCGCCGGC GACAGGAGAC	300
GCTCCCCCC ACGCCGCGC CGCCTCGGCC CGGTCGCTGG CCCGCCTCCA CTCCGGGGAC	360
AAACTTTTCC CGAAGCCGAT CCCAGCCCTC GGACCCAAAC TTGTCGCGCG TCGCCTTCGC	420
Met Ser Glu Arg Arg CGGGAGCCGT CCGCGCAGAG CGTGCACTTC TCGGGCGAG ATG TCG GAG CGC AGA	474
Glu Gly Lys Gly Lys Gly Lys Gly Gly Lys Lys Asp Arg Gly Ser Gly GAA GGC AAA GGC AAG GGG AAG GGC GGC AAG AAG	522
Lys Lys Pro Val Pro Ala Ala Gly Gly Pro Ser Pro Ala AAG AAG CCC GTG CCC GCG GCT GGC GGC CCA G	559

# Figure 13 B

CODING SEGMENT E:	
CC CAT CAN GTG TGG GCG GCG AAA GCC GGG GGC TTG A His Gln Val Trp Ala Ala Lys Ala Gly Gly Leu I 1 5 10	AG AAG GAC TCG 47 Lys Lys Asp Ser 15
CTG CTC ACC GTG CGC CTG GGC GCC TGG GGC CAC CCC Leu Leu Thr Val Arg Leu Gly Ala Trp Gly His Pro 20 25	GCC TTC CCC TCC 95 Ala Phe Pro Ser 30
TGC GGG CGC CTC AAG GAG GAC AGC AGG TAC ATC TTC Cys Gly Arg Leu Lys Glu Asp Ser Arg Tyr Ile Phe 35	TTC ATG GAG CCC 143 Phe Met Glu Pro 45
GAG GCC AAC AGC AGC GGC GGG CCC GGC CGT CCG Glu Ala Asn Ser Ser Gly Gly Pro Gly Arg Leu Pro 50	AGC CTC CTT CCC 191 Ser Leu Leu Pro 60
CCC TCT CGA GAC GGG CCG GAA CCT CAA GAA GGA GGT Pro Ser Arg Asp Gly Pro Glu Pro Gln Glu Gly Gly 65 70 75	CAG CCG GGT GCT 239 Gln Pro Gly Ala

# Figure 13 C

## CODING SEGMENT B:

GTG CAA CGG TGC G Val Gln Arg Cys 80

CC	T	TGC	CTC	CCC	GCT	TGA	AAG	His AGA     AGA	TGA	AGA	GTC	AGG	AGT		166		48
G'	PT I I	CCA	AAC	TAG	TGC	TTC	GGT	Glu GCG     GTG	AGA	CCA	GTT 	111	AAT	III	111	111	96
T	CA CA	AGT	TCA	AGT	GGT	TCA	AGA		GGA	GTG	AAT	TAA	ا		AGA	Lys ACA     ACA	144
A I	AC II	CAC	AAA 	ACA	TCA	AGA	TAC	Lys AGA     AAA K	AAA	GGC	CGG	G 					178

## Figure 13 D

		_
CODING	SEGMENT	Α:

Lys Ser Glu Leu Arg Ile Ser Lys Ala Ser Leu Ala Asp Ser Gly G AAG TCA GAA CTT CGC ATT AGC AAA GCG TCA CTG GCT GAT TCT GGA	46
Glu Tyr Met Cys Lys Val Ile Ser Lys Leu Gly Asn Asp Ser Ala Ser GAA TAT ATG TGC AAA GTG ATC AGC AAA CTA GGA AAT GAC AGT GCC TCT	94
Ala Asn Ile Thr Ile Val Glu Ser Asn Ala GCC AAC ATC ACC ATT GTG GAG TCA AAC G                                   GCC AAT ATC ACC ATC GTG GAA TCA AAC G	122

## Figure 13 E

#### CODING SEGMENT A':

TCTAAAACTA CAGAGACTGT ATTTTCATGA TCATCATAGT TCTGTGAAAT ATACTTAAAC	60
CGCTTTGGTC CTGATCTTGT AGG AAG TCA GAA CTT CGC ATT AGC AAA GCG Lys Ser Glu Leu Arg Ile Ser Lys Ala 1 5	110
TCA CTG GCT GAT TCT GGA GAA TAT ATG TGC AAA GTG ATC AGC AAA CTA Ser Leu Ala Asp Ser Gly Glu Tyr Met Cys Lys Val Ile Ser Lys Leu 10 15 20 25	158
GGA AAT GAC AGT GCC TCT GCC AAC ATC ACC ATT GTG GAG TCA AAC GGT Gly Asn Asp Ser Ala Ser Ala Asn Ile Thr Ile Val Glu Ser Asn Gly 30 35 40	206
AAG AGA TGC CTA CTG CGT GCT ATT TCT CAG TCT CTA AGA GGA GTG ATC Lys Arg Cys Leu Leu Arg Ala Ile Ser Gln Ser Leu Arg Gly Val Ile 45 50 55	254
AAG GTA TGT GGT CAC ACT TGAATCACGC AGGTGTGTGA AATCTCATTG Lys Val Cys Gly His Thr 60	302
TGAACAAATA AAAATCATGA AAGGAAAACT CTATGTTTGA AATATCTTAT GGGTCCTCCT	362
GTAAAGCTCT TCACTCCATA AGGTGAAATA GACCTGAAAT ATATATAGAT TATTT	417

# Figure 13 F

CODING	SECMENT	G:

AG	Ile ATC     ATC	ACC	ACT	GGC	ATG	CCA	GCC	TCA	ACT	GAG 	ACA	GCG	TAT	GTG	TCT	47
TCA	Glu GAG     GAG	TCT		TTA	AGA	ATA	TCA	GTA	TCA	ACA	GAA	GGA 	ACA	AAT 	ACT	95
	Ser TCA     TCA															102

# Figure 13 G

CODING SEGMENT C:	
Thr Ser Thr Ser Thr Ala Gly Thr Ser His Leu Val Lys Cys Ala CC ACA TCC ACA TCT ACA GCT GGG ACA AGC CAT CTT GTC AAG TGT GCA	47
Glu Lys Glu Lys Thr Phe Cys Val Asn Gly Gly Glu Cys Phe Met Val GAG AAG GAG AAA ACT TTC TGT GTG AAT GGA GGC GAG TGC TTC ATG GTG	95
Lys Asp Leu Ser Asn Pro Ser Arg Tyr Leu Cys AAA GAC CTT TCA AAT CCC TCA AGA TAC TTG TGC	128

## Figure 13 H

CODING SEGMENT C/D:

AAG	Cys TGC     TGC	CAA	CCT	GGA	TTC	ACT	GGA	GCG	AGA	TGT	ACT	GAG	AAT	GTG	CCC	48
ATG	Lys AAA     AAA	GTC	CAA	ACC	CAA	GAA										69

# Figure 13 I

CODING SEGMENT C/D':

Lys Cys I AAG TGC (         AAG TGC (	CCA AAT	GAG	ITTT	ACT	GGT	GAT	CGC	TGC	CAA	AAC	TAC	GTA	ATG	48
Ala Ser I GCC AGC I           GCC AGC I	TTC TAC													60

Figure 13 J

CODING SEGMENT D:

Figure 13 K

CODING SEGMENT D':

Lys His Leu Gly Ile Glu Phe Met Glu
AAG CAT CTT GGG ATT GAA TTT ATG GAG
27

22/52

## Figure 13 L

#### CODING SEGMENT H:

48	ATT 	GGC 	ACC	ATT 	ACC	CTC	GTG	AGA	AAG	CAG	TAC	Leu CTC    CTG	GAG	GAG	GCG	
96	TGC	TAC	GTC	GTG	GTG	TGT	ATG	ATC	GGC	GTT 	GTG	Leu CTC    CTT	CTG	GCG	ATC	TGC
144	AGC	CAG	CGG	CTT	CGG	GAC	CAT	CTT	AAG	AAA	CGG	Gln CAA    CAG		AAG	ACC	
192	CAC	CCC	GGG	AAC	GCC	GTA	AAC	ATG	ATG	ACC	AAC	Arg AGA    CGA	GAA	TCT	CGG	
240	GTA	TAC	CAA	TAA	GTG	CTG	CAG	GTG	AAC	GAG	CCC	Pro CCC     CCC	CCG	AAT	11	LAC
288	GAG	GCG 11	GAG 	AGA	GAG	GTT	ATT	CAT	GAG	AGC	TCT	Ile ATC     ATC	GTC	AAT	AAA 	111

## Figure 13 L'

AGC	TCT	TTT	TCC	ACC	AGT	CAC	TAC	ACT 	TCG	ACA	GCT	CAT	CAT	TCC	111	336	
ACT	GTC	Thr ACT    ACC	CAG	ACT	CCC	AGT	CAC	AGC	TGG	AGC	AAT	GGA	CAC	ACT	GAA 	384	
AGC	ATC	Ile ATT    CTT L	TCG	GAA	AGC	CAC	TCT	GTC	ATC	GTG	ATG	TCA	TCC	GTA	GAA 	432	
AAC	AGT	Arg AGG     AGG	CAC	AGC	AGC	CCG	ACT	GGG 	GGC 	CCG	AGA	GGA	CGT	CTC	AAT	480	
GGC	TTG	GGA	GGC	CCT	CGT	GAA	TGT	AAC	AGC	TTC	CTC	AGG	CAT		Arg AGA     AGA	528	
GAA	ACC	Pro CCT	GAC	TCC	TAC	CGA	GAC	TCT	CCT	CAT	AGT	GAA	AG	Ī		569	

### Figure 13 M

#### CODING SEGMENT K:

A C.	AT A is A: 1	AC C'	PT A' eu I	TA GO	CT G la G 5	AG C	ra a eu a	GG A	GA A	AC AI sn Li 10	AG G YS A	CC CI la H:	AC AG	GA TO	CC er 15	4	6
AAA Lys	TGC Cys	ATG Met	CAG Gln	ATC Ile 20	CAG Gln	CTT Leu	TCC Ser	GCA Ala	ACT Thr 25	CAT His	CTT Leu	AGA Arg	GCT Ala	TCT Ser 30	TCC Ser	9.	4
ATT Ile	CCC Pro	CAT His	TGG Trp 35	GCT Ala	TCA Ser	TTC Phe	TCT Ser	AAG Lys 40	ACC Thr	CCT Pro	TGG Trp	CCT Pro	TTA Leu 45	GGA Gly	AG Arg	14:	<b>1</b> ,

### Figure 13 N

#### CODING SEGMENT L:

Tyr Val Ser Ala Met Thr Thr Pro Ala Arg Met Ser Pro Val Asp G TAT GTA TCA GCA ATG ACC ACC CCG GCT CGT ATG TCA CCT GTA GAT 	46
Phe His Thr Pro Ser Ser Pro Lys Ser Pro Pro Ser Glu Met Ser Pro TTC CAC ACG CCA AGC TCC CCC AAG TCA CCC CCT TCG GAA ATG TCC CCG	94
Pro Val Ser Ser Thr Thr Val Ser Met Pro Ser Met Ala Val Ser Pro CCC GTG TCC AGC ACG ACG GTC TCC ATG CCC TCC ATG GCG GTC AGT CCC	142
Phe Val Glu Glu Arg Pro Leu Leu Val Thr Pro Pro Arg Leu TTC GTG GAA GAG GAG AGA CCC CTG CTC CTT GTG ACG CCA CCA CGG CTG	190
Arg Glu Lys - Tyr Asp His His Ala Gln Gln Phe Asn Ser Phe His CGG GAG AAG TAT GAC CAC CAC GCC CAG CAA TTC AAC TCG TTC CAC	238
Cys Asn Pro Ala His Glu Ser Asn Ser Leu Pro Pro Ser Pro Leu Arg TGC AAC CCC GCG CAT GAG AGC AAC AGC CTG CCC CCC AGC CCC TTG AGG	286

### Figure 13 N'

ÎÏÎ		III	Asp GAT       GAT	III	GAA	IH	GAA	ACG	ACC	CAG	GAG	TAC	GAA	CCA	GCT	33	34
		11	Val GTT     GTT	AAG	AAA 	111	ACC	AAC	AGC	AGC	CGG	CGG	GCC	AAA	AGA	38	32
		111	Asn AAT     AAT		III	ATT	GCC	CAC	AGG	TTG	GAA	ATG	GAC	AAC	AAC	43	30
	AGC	TCC	Asp GAC   CAG	AGC	AGT	AAC	TCA	GAG	AGC 11	GAA	ACA	GAG	GAT	GAA	AGA	47	'8

### Figure 13 N''

GTA	Gly GGA    GGT	GAA 	GAT	ACG	CCT	TTC	CTG	GCC 	ATA	CAG	AAC	CCC	CTG	GCA	GCC	526
AGT	Leu CTC    CTT	GAG	GCG	GCC 	CCT	GCC	TTC	CGC	CTG	GTC 	GAC	AGC  -	AGG	ACT	AAC 	574
CCA	Thr ACA    GCA A	GGC 	GGC	TTC	TCT	CCG	CAG	GAA	GAA 	TTG 	CAG	GCC	AGG	CTC	TCC	622
GGT	111	ATC	GCT	AAC 	CAA	GAC	CCT	ATC	GCT	GTC	TAA	-111		111	ACA     AAA	672
1	111	111	$\parallel \parallel \parallel$		-111	-111	111	-111	-111				- 111	-111	CCA     CCA	718
CCT	TAA	ATT	AAA 	CAA										·		733

#### Figure 13 0

HUMAN CODING SEGMENT E:

ATO Met 1	AGA Arg	TGG Trp	CGA Arg	CGC Arg 5	GCC Ala	CCG Pro	CGC Arg	CGC Arg	TCC Ser 10	GGG Gly	CGT Arg	CCC Pro	GGC Gly	CCC Pro 15	CGG Arg	48
GCC Ala	CAG Gln	CGC Arg	Pro 20	GGC Gly	TCC Ser	GCC	GCC Ala	CGC Arg 25	TCG Ser	<b>TC</b> G Ser	CCG Pro	CCG Pro	CTG Leu 30	CCG Pro	CTG Leu	96
CTG Leu	CCA Pro	CTA Leu 35	CTG Leu	CTG Leu	CTG Leu	CTG Leu	GGG Gly 40	ACC Thr	GCG Ala	GCC Ala	CTG Leu	GCG Ala 45	CCG Pro	GGG Gly	GCG Ala	144
GCG Ala	GCC Ala 50	GGC Gly	AAC Asn	GAG Glu	GCG Ala	GCT Ala 55	CCC Pro	GCG Ala	GGG Gly	GCC Ala	TCG Ser 60	GTG Val	TGC Cys	TAC Tyr	TCG Ser	192
TCC Ser 65	CCG Pro	CCC Pro	AGC Ser	GTG Val	GGA Gly 70	TCG Ser	GTG Val	CAG Gln	GAG Glu	CTA Leu 75	GCT Ala	CAG Gln	CGC Arg	GCC Ala	GCG Ala 80	240
GTG Val	GTG Val	ATC Ile	GAG Glu	GGA Gly 85	AAG Lys	GTG Val	CAC His	CCG Pro	CAG Gln 90	CGG Arg	CGG Arg	CAG Gln	CAG Gln	GGG Gly 95	GCA Ala	288
CTC Leu	GAC Asp	AGG Arg	AAG Lys 100	GCG Ala	GCG Ala	GCG Ala	GCG Ala	GCG Ala L05	GGC Gly	GAG Glu	GCA Ala	Gly	GCG Ala 110	TGG Trp	GGC Gly	336
GGC Gly	GAT Asp	CGC Arg 115	GAG Glu	CCG Pro	CCA Pro	GCC Ala	GCG Ala 120	GGC Gly	CCA Pro	CGG Arg	GCG Ala	CTG Leu 125	GGG Gly	CCG Pro	CCC Pro	384
GCC Ala	GAG Glu 130	GAG Glu	CCG Pro	CTG Leu	CTC Leu	GCC Ala 135	GCC Ala	AAC Asn	GGG Gly	ACC Thr	GTG Val 140	CCC Pro	TCT Ser	TGG Trp	CCC Pro	432
ACC Thr 145	GCC Ala	CCG Pro	GTG Val	CCC Pro	AGC Ser 150	GCC Ala	GGC Gly	GAG Glu	CCC Pro	GGG Gly 155	GAG Glu	GAG Glu	GCG Ala	CCC Pro	TAT Tyr 160	480
CTG Leu	GTG Val	AAG Lys	GTG Val	CAC His 165	CAG Gln	GTG Val	TGG Trp	GCG Ala	GTG Val 170	AAA Lys	GCC Ala	GGG Gly	GGC Gly	TTG Leu 175	AAG Lys	528
AAG Lys	GAC Asp	TCG Ser	CTG Leu 180	CTC Leu	ACC Thr	GTG Val	CGC Arg	CTG Leu 185	GGG Gly	ACC Thr	TGG Trp	GGC Gly	CAC His 190	CCC Pro	GCC Ala	576
TTC Phe	CCC Pro	TCC Ser 195	TGC Cys	GGG Gly	AGG Arg	CTC Leu	AAG Lys 200	GAG Glu	GAC Asp	AGC Ser	AGG Arg	TAC Tyr 205	ATC Ile	TTC Phe	TTC Phe	624
ATG Met	GAG Glu 210	CCC Pro	GAC Asp	GCC Ala	AAC Asn	AGC Ser 215	ACC Thr	AGC Ser	CGC Arg	GCG Ala	CCG Pro 220	GCC Ala	GCC Ala	TTC Phe	CGA Arg	672
GCC Ala 225	TCT Ser	TTC Phe	CCC Pro	Pro	CTG Leu 230	GAG Glu	ACG Thr	GGC Gly	Arg	AAC Asn 235	CTC Leu	AAG Lys	AAG Lys	GAG Glu	GTC Val 240	720
AGC Ser	CGG Arg	GTG Val	Leu	TGC Cys : 245	AAG Lys	CGG Arg	TGC Cys	G								745

29/52

#### Figure 14 A

AGTTTCCCCC CCCAACTTGT CGGAACTCTG GGCTCGCGCG CAGGGCAGGA GCGGAGCGGC	. 60
GGCGGCTGCC CAGGCGATGC GAGCGCGGGC CGGACGGTAA TCGCCTCTCC CTCCTCGGGC	120
TGCGAGCGCG CCGGACCGAG GCAGCGACAG GAGCGGACCG CGGCGGGAAC CGAGGACTCC	180
CCAGCGGCGC GCCAGCAGGA GCCACCCCGC GAGCGTGCGA CCGGGACGGA GCGCCCGCCA	240
GTCCCAGGTG GCCCGGACCG CACGTTGCGT CCCCGCGCTC CCCGCCGGCG ACAGGAGACG	300
CTCCCCCCA CGCCGCGCG GCCTCGGCC GGTCGCTGGC CCGCCTCCAC TCCGGGGACA	360
AACTTTTCCC GAAGCCGATC CCAGCCCTCG GACCCAAACT TGTCGCGCGT CGCCTTCGCC	420
GGGAGCCGTC CGCGCAGAGC GTGCACTTCT CGGGCGAG ATG TCG GAG CGC AGA Met Ser Glu Arg Arg 1 5	475
GAA GGC AAA GGC AAG GGG AAG GGC GGC AAG AAG	523
AAG AAG CCC GTG CCC GCG GCT GGC GGC CCG AGC CCA GCC TTG CCT CCC Lys Lys Pro Val Pro Ala Ala Gly Gly Pro Ser Pro Ala Leu Pro Pro 25 30 35	571
CGC TTG AAA GAG ATG AAG ATG CAG GAG TCT GTG GCA GGT TCC AAA CTA Arg Leu Lys Glu Met Lys Ser Gln Glu Ser Val Ala Gly Ser Lys Leu 40 45 50	619
GTG CTT CGG TGC GAG ACC AGT TCT GAA TAC TCC TCT CTC AAG TTC AAG Val Leu Arg Cys Glu Thr Ser Ser Glu Tyr Ser Ser Leu Lys Phe Lys 55 60 65	667
TGG TTC AAG AAT GGG AGT GAA TTA AGC CGA AAG AAC AAA CCA CAA AAC Trp Phe Lys Asn Gly Ser Glu Leu Ser Arg Lys Asn Lys Pro Gln Asn 70 75 80 85	715
ATC AAG ATA CAG AAA AGG CCG GGG AAG TCA GAA CTT CGC ATT AGC AAA Ile Lys Ile Gln Lys Arg Pro Gly Lys Ser Glu Leu Arg Ile Ser Lys 90 95 100	763
GCG TCA CTG GCT GAT TCT GGA GAA TAT ATG TGC AAA GTG ATC AGC AAA Ala Ser Leu Ala Asp Ser Gly Glu Tyr Met Cys Lys Val Ile Ser Lys	811

#### Figure 14 B

Leu	Gly	Asn 120	ASP	Ser	Ala	TCT Ser	GCC Ala 125	Asn	ATC Ile	ACC	Ile	GTG Val 130	GAG Glu	TCA Ser	AAC Asn	859
GAG Glu	ATC Ile 135		ACT Thr	GGC Gly	ATG Met	CCA Pro 140	wra	TCA Ser	ACT Thr	GAG Glu	ACA Thr 145	GCG Ala	TAT Tyr	GTG Val	TCT Ser	907
TCA Ser 150	GAG Glu	TCT Ser	CCC Pro	ATT Ile	AGA Arg 155	ATA Ile	TCA Ser	GTA Val	TCA Ser	ACA Thr 160	Glu	GGA Gly	ACA Thr	AAT Asn	ACT Thr 165	955
		-		170	ACA Thr	SeI	Thr	Ala	175	Thr	Ser	His	Leu	Val 180	Lys	1003
TGT Cys	GCA Ala	GAG Glu	AAG Lys 185	GAG Glu	AAA Lys	ACT Thr	TTC Phe	TGT Cys 190	GTG Val	AAT Asn	GGA Gly	GGC Gly	GAG Glu 195	TGC Cys	TTC Phe	1051
ATG Met		200	· · · · ·	·	Der	ASII	205	ser	Arg	TYT	Leu	<b>Cys</b> 210	Lys	Суѕ	Pro	1099
	215			o.j	nsp	220	Cys	GIN	Asn	'I'yr	Val 225	Met	Ala	Ser	TTC Phe	1147
TAC A	AGT Ser	ACG Thr	TCC Ser	ACT Thr	CCC Pro 235	TTT Phe	CTG Leu	TCT Ser	Leu	CCT Pro 240	GAA Glu	TAGG	CGCA	TG		1193
CTCA	GTCG	GT G	CCGC	TTTC	T TG	TTGC	CGCA	TCT	cccc	TCA	GATT	CAAC	СТ А	GAGC	TAGAT	1253
GCGT.	ATTI	CC A	GGTC	TAAC	TT A	GACT	GCCT	CTG	CCTG	TCG	CATG	AGAA	CA T	TAAC	ACAAG	1313
															CGTAA	1373
															CTCTC	1433
															AAAAT	1493
															GTGTG	1553
												TCGG'	TT C	AGAA'	TGTGT	1613
rctti	CTG	AC AA	<b>ATA</b>	ACA	G AA	<b>TAAA</b> 1	AAAA	AAA	AAAA	AAA .	A					1654

### Figure 15 A

CAT His 1	CAN Gln	GTG Val	TGG Trp	GCG Ala 5	GCG Ala	AAA Lys	GCC Ala	GGG Gly	GGC Gly 10	TTG Leu	AAG Lys	AAG Lys	GAC Asp	TCG Ser 15	CTG Leu	48
CTC Leu	ACC Thr	GTG Val	CGC Arg 20	CTG Leu	GGC Gly	GCC Ala	TGG Trp	GGC Gly 25	CAC His	CCC Pro	GCC Ala	TTC Phe	CCC Pro 30	TCC Ser	TGC Cys	96
GGG Gly	CGC Arg	CTC Leu 35	AAG Lys	GAG Glu	GAC Asp	AGC Ser	AGG Arg 40	TAC Tyr	ATC Ile	TTC Phe	TTC Phe	ATG Met 45	GAG Glu	CCC Pro	GAG Glu	144
												CTC Leu				192
TCT Ser 65	CGA Arg	GAC Asp	GGG Gly	CCG Pro	GAA Glu 70	CCT Pro	CAA Gln	GAA Glu	GGA Gly	GGT Gly 75	CAG Gln	CCG Pro	GGT Gly	GCT Ala	GTG Val 80	240
												AAG Lys				288
TCT Ser	GTG Val	GCA Ala	GGT Gly 100	TCC Ser	AAA Lys	CTA Leu	GTG Val	CTT Leu 105	CGG Arg	TGC Cys	GAG Glu	ACC Thr	AGT Ser 110	Ser	GAA Glu	336
TAC Tyr	TCC Ser	TCT Ser 115	CTC Leu	AAG Lys	TTC Phe	AAG Lys	TGG Trp 120	TTC Phe	AAG Lys	AAT Asn	GGG Gly	AGT Ser 125	Glu	TTA Leu	AGC Ser	384
CGA Arg	AAG Lys 130	Asn	AAA Lys	CCA Pro	GAA Glu	AAC Asn 135	Ile	AAG Lys	ATA Ile	CAG Gln	AAA Lys 140	Arg	Pro	GGG Gly	AAG Lys	432
TCA Ser 145	Glu	CTT Leu	CGC Arg	ATT Ile	AGC Ser 150	Lys	GCG Ala	TCA Ser	CTG Leu	GCT Ala 155	Asp	TCI Ser	GGA Gly	GAA Glu	TAT Tyr 160	480
ATG Met	TGC Cys	AAA Lys	GTG Val	ATC Ile 165	Ser	AAA Lys	CTA Leu	GGA Gly	AAT Asn 170	Asp	AG1	GCC Ala	TC1	GCC Ala 175	AAC Asn	528

#### Figure 15 B

Ile T	ACC . Thr	ATT Ile	GTG Val 180	GAG Glu	TCA Ser	AAC Asn	GCC Ala	ACA Thr 185	TCC Ser	ACA Thr	TCT Ser	ACA Thr	GCT Ala 190	GGG Gly	ACA Thr	576	5
AGC C. Ser H		CTT Leu 195	GTC Val	AAG Lys	TGT Cys	GCA Ala	GAG Glu 200	AAG Lys	GAG Glu	AAA Lys	ACT Thr	TTC Phe 205	TGT Cys	GTG Val	AAT Asn	624	1
GGA GG Gly G: 2:	GC ( ly ( 10	GAG Glu	TGC Cys	TTC Phe	ATG Met	GTG Val 215	AAA Lys	GAC Asp	CTT Leu	TCA Ser	AAT Asn 220	CCC Pro	TCA Ser	AGA Arg	TAC Tyr	672	)
TTG TO Leu Cy 225	GC <i>I</i> ys I	AAG Jys	TGC Cys	CAA Gln	CCT Pro 230	GGA Gly	TTC Phe	ACT Thr	GGA Gly	GCG Ala 235	AGA Arg	TGT Cys	ACT Thr	GAG Glu	AAT Asn 240	720	)
GTG CC Val Pr	CC A	ATG . Met :	_, _	GTC Val 245	CAA Gln	ACC Thr	CAA Gln	GAA Glu	AAG Lys 250	TGC Cys	CCA Pro	AAT Asn	GAG Glu	TTT Phe 255	ACT Thr	768	;
GGT GA Gly As	AT C		TGC Cys 260	CAA Gln	AAC Asn	TAC Tyr	vai	ATG Met 265	GCC Ala	AGC Ser	TTC Phe	Tyr	AGT Ser 270	ACG Thr	TCC Ser	816	
ACT CC Thr Pr		TT ( he 1 75	CTG ' Leu :	TCT Ser	CTG Leu	FIO	GAA Glu 280	TAGC	GCAI	CT C	AGTC	GGTG	C CG	CTTT	CTTG	870	
TTGCCG	CAT	C TO	CCC.	TCAG	TT A	CCNC	CTAG	AGC	TAGA	TGC	GTTT	TACC	AG G	TCTA	ACATT	930	
GACTGC	CTC	T GC	CTG:	rcgc:	A TG	AGAA	CATT	AAC.	ACAA	GCG	ATTG	TATG	AC T	TCCT	CTGTC	990	
CGTGAC																	
ATCTTG.								TCC	CTCT	CAC	CCAG'	TGCA	AT G	ACAA	TAAAG	1110	
GCCTTG.	AAA	A GI	CAAZ	YAAA?	A AA	AAAA	AAAA									1140	

### Figure 16 A

G AA Ly 1	s Se	CA GA er Gl	A CI u Le	T CG	g Il	T AG e Se	C AA r Ly	A GC s Al	a Se	A CT r Le .0	G GC u Al	T GA a As	T TC' p Se	r GG r Gl	A GAA y Glu 5	49
		TGC Cys										Ser				97
		ACC Thr 35														145
		CAT His														193
		GGC Gly														241
		TGC Cys														289
AAT Asn	GTG Val	CCC Pro	ATG Met 100	AAA Lys	GTC Val	CAA Gln	Thr	CAA Gln 105	GAA Glu	AAA Lys	GCG Ala	Glu	GAG Glu 110	CTC Leu	TAC Tyr	337
		AGA Arg 115														385
		ATC Ile														433
AAA Lys 145	AAG Lys	CTT Leu	CAT His	GAC Asp	CGG Arg 150	CTT Leu	CGG	CAG Gln	AGC Ser	CTT Leu 155	CGG Arg	TCT Ser	GAA Glu	AGA Arg	AAC Asn 160	481
ACC Thr	ATG Met	ATG Met	AAC Asn	GTA Val 165	GCC Ala	AAC Asn	GGG	CCC Pro	CAC His 170	His	CCC Pro	AAT Asn	CCG Pro	CCC Pro 175	CCC Pro	529
GAG Glu	AAC Asn	GTG Val	CAG Gln 180	CTG Leu	GTG Val	AAT Ásn	CAA Gln	TAC Tyr 185	Val	TCT Ser	AAA Lys	AAT Asn	GTC Val 190	Ile	TCT Ser	577

# Figure 16 B

AG( Se)	C GAO	G CAS 1 His 195		r gr e Val	r GAG L Glu	AGA LArg	A GAC J Glu 200	1 ATS	GAG Glu	AGO Ser	C TCT	TTT Phe 205	e Ser	ACC Thi	AGT Ser	62	5
CAC His	TAC Tyr 210	ACT Tha	TCC Sea	G ACA	A GCT	CAT His 215	o urs	TCC Ser	ACT Thr	ACT Thr	GTC Val 220	Thr	CAG Glr	ACT Thr	CCC Pro	67.	3
225	1				230	Gly	nis	1111	GIU	235	Ile	· Ile	Ser	Glu	AGC Ser 240	72:	1
				245		Del	Set	vaı	250	Asn	Ser	Arg	His	Ser 255		769	€
CCG Pro	ACT Thr	Gly	GGC Gly 260	CCG Pro	AGA Arg	GGA Gly	CGT Arg	CTC Leu 265	AAT Asn	GGC Gly	TTG Leu	GGA Gly	GGC Gly 270	CCT Pro	CGT	817	7
GAA Glu	TGT Cys	AAC Asn 275	AGC Ser	TTC Phe	CTC Leu	AGG Arg	CAT His 280	GCC Ala	AGA Arg	GAA Glu	ACC Thr	CCT Pro 285	GAC Asp	TCC Ser	TAC Tyr	865	<b>;</b>
	290			0	JC1	295	AGA Arg	nis	Asn	Leu	300	Ala	Glu	Leu	Arg	913	
305		-			310	Del	AAA Lys	Cys	Met	315	Ile	Gln	Leu	Ser	Ala 320	961	
			5	325	JC1	261	ATT Ile	PIO	330	Trp	Ala	Ser	Phe	Ser 335	Lys	1009	
			340		Cly	arg	TAT Tyr	345	ser	Ala	Met	Thr	Thr 350	Pro	Ala	1057	
		355		VUI	nsp	FIIG	CAC His 360	Thr	Pro	Ser	Ser	Pro 365	Lys	Ser	Pro	1105	
	TCG Ser 370	GAA Glu	ATG Met	TCC Ser	110	CCC Pro 375	GTG Val	TCC Ser	AGC . Ser	ınr	ACG Thr 380	GTC Val	TCC Ser	ATG Met	CCC Pro	1153	

### Figure 16 C

,	TCC Ser 385	ATG Met	GCG Ala	GTC Val	AGT Ser	CCC Pro 390	TTC Phe	GTG Val	GAA Glu	GAG Glu	GAG Glu 395	AGA Arg	CCC Pro	CTG Leu	CTC Leu	CTT Leu 400	1201
•	GTG Val	ACG Thr	CCA Pro	CCA Pro	CGG Arg 405	CTG Leu	CGG Arg	GAG Glu	AAG Lys	TAT Tyr 410	GAC Asp	CAC His	CAC His	GCC Ala	CAG Gln 415	CAA Gln	1249
	TTC Phe	AAC Asn	TCG Ser	TTC Phe 420	CAC His	TGC Cys	AAC Asn	CCC Pro	GCG Ala 425	CAT His	GAG Glu	AGC Ser	AAC Asn	AGC Ser 430	CTG Leu	CCC Pro	1297
					AGG Arg												1345
					GCT Ala												1393
	CGG Arg 465	CGG Arg	GCC Ala	AAA Lys	AGA Arg	ACC Thr 470	AAG Lys	CCC Pro	AAT Asn	GGT Gly	CAC His 475	ATT Ile	GCC Ala	CAC His	AGG Arg	TTG Leu 480	1441
	GAA Glu	ATG Met	GAC Asp	AAC Asn	AAC Asn 485	ACA Thr	GGC Gly	GCT Ala	GAC Asp	AGC Ser 490	AGT Ser	AAC Asn	TCA Ser	GAG Glu	AGC Ser 495	GAA Glu	1489
	ACA Thr	GAG Glu	GAT Asp	GAA Glu 500	AGA Arg	GTA Val	GGA Gly	GAA Glu	GAT Asp 505	ACG Thr	CCT Pro	TTC Phe	CTG Leu	GCC Ala 510	Ile	CAG Gln	1537
	AAC Asn	CCC Pro	CTG Leu 515	GCA Ala	GCC Ala	AGT Ser	CTC Leu	GAG Glu 520	GCG Ala	GCC Ala	CCT Pro	GCC Ala	Phe 525	Arg	CTG Leu	GTC Val	1585
	GAC Asp	AGC Ser 530	Arg	ACT Thr	AAC Asn	CCA Pro	ACA Thr 535	GGC Gly	GGC Gly	TTC Phe	TCT	Pro 540	Glr	GAA Glu	GAA Glu	TTG Leu	1633
	CAG Gln 545	Ala	AGG Arg	CTC Leu	TCC Ser	GGT Gly 550	Val	ATC	GCT Ala	AAC Asn	CAA Gln 555	Asp	CCT Pro	TATO	GCT Ala	GTC Val 560	1681
	TAA	AACC	GAA	ATAC	ACCC	AT A	GATT	CACC	T GI	AAAA	CTTI	' ATT	TTA	ATA	ATA	AGTATT	1741
	CCA	ССТТ	AAA	TTAA	ACAA	AA A	AA										1764

#### Figure 17 A

F-B-A'

F-E-B-A'

F-B-A-C-C/D-D F-B-A-C-C/D-H F-B-A-C-C/D-H-L F-B-A-C-C/D-H-K-L F-B-A-C-C/D-D'-H F-B-A-C-C/D-D'-H-I. F-B-A-C-C/D-D'-H-K-L F-B-A-C-C/D'-D F-B-A-C-C/D'-H F-B-A-C-C/D'-H-L F-B-A-C-C/D'-H-K-L F-B-A-C-C/D'-D'-H F-B-A-C-C/D'-D'-H-L F-B-A-C-C/D'-D'-'H-K-L F-B-A-C-C/D-C/D'-D F-B-A-C-C/D-C/D'-H F-B-A-C-C/D-C/D'-H-L F-B-A-C-C/D-C/D'-H-K-L F-B-A-C-C/D-C/D'-D'-H F-B-A-C-C/D-C/D'-D'-H-L F-B-A-C-C/D-C/D'-D'-H-K-L

F-E-B-A-C-C/D-D F-E-B-A-C-C/D-H F-E-B-A-C-C/D-H-L F-E-B-A-C-C/D-H-K-L F-E-B-A-C-C/D-D'-H F-E-B-A-C-C/D-D'-H-L F-E-B-A-C-C/D-D'-H-K-L F-E-B-A-C-C/D'-D F-E-B-A-C-C/D'-H F-E-B-A-C-C/D'-H-L F-E-B-A-C-C/D'-H-K-L F-E-B-A-C-C/D'-D'-H F-E-B-A-C-C/D'-D'-H-L F-E-B-A-C-C/D'-D'-'H-K-L F-E-B-A-C-C/D-C/D'-D F-E-B-A-C-C/D-C/D'-H F-E-B-A-C-C/D-C/D'-H-L F-E-B-A-C-C/D-C/D'-H-K-L F-E-B-A-C-C/D-C/D'-D'-H F-E-B-A-C-C/D-C/D'-D'-H-L F-E-B-A-C-C/D-C/D'-D'-H-K-L

F-B-A-G-C-C/D-D F-B-A-G-C-C/D-H F-B-A-G-C-C/D-H-L F-B-A-G-C-C/D-H-K-L F-B-A-G-C-C/D-D'-H F-B-A-G-C-C/D-D'-H-L F-B-A-G-C-C/D-D'-H-K-L F-B-A-G-C-C/D'-D F-B-A-G-C-C/D'-H F-B-A-G-C-C/D'-H-L F-B-A-G-C-C/D'-H-K-L F-B-A-G-C-C/D'-D'-H F-B-A-G-C-C/D'-D'-H-L F-B-A-G-C-C/D'-D'-'H-K-L F-B-A-G-C-C/D-C/D'-D F-B-A-G-C-C/D-C/D'-H F-B-A-G-C-C/D-C/D'-H-L F-B-A-G-C-C/D-C/D'-H-K-L F-B-A-G-C-C/D-C/D'-D'-H F-B-A-G-C-C/D-C/D'-D'-H-L F-B-A-G-C-C/D-C/D'-D'-H-K-L

F-E-B-A-G-C-C/D-D F-E-B-A-G-C-C/D-H F-E-B-A-G-C-C/D-H-L F-E-B-A-G-C-C/D-H-K-L F-E-B-A-G-C-C/D-D'-H F-E-B-A-G-C-C/D-D'-H-L F-E-B-A-G-C-C/D-D'-H-K-L F-E-B-A-G-C-C/D'-D F-E-B-A-G-C-C/D'-H F-E-B-A-G-C-C/D'-H-L F-E-B-A-G-C-C/D'-H-K-L F-E-B-A-G-C-C/D'-D'-H F-E-B-A-G-C-C/D'-D'-H-L F-E-B-A-G-C-C/D'-D'-'H-K-L F-E-B-A-G-C-C/D-C/D'-D F-E-B-A-G-C-C/D-C/D'-H F-E-B-A-G-C-C/D-C/D'-H-L F-E-B-A-G-C-C/D-C/D'-H-K-L F-E-B-A-G-C-C/D-C/D'-D'-H F-E-B-A-G-C-C/D-C/D'-D'-H-L F-E-B-A-G-C-C/D-C/D'-D'-H-K-L

#### Figure 17 B

E-B-A'

E-B-A-C-C/D-D E-B-A-C-C/D-H E-B-A-C-C/D-H-L E-B-A-C-C/D-H-K-L E-B-A-C-C/D-D'-H E-B-A-C-C/D-D'-H-L E-B-A-C-C/D-D'-H-K-L E-B-A-C-C/D'-D E-B-A-C-C/D'-H E-B-A-C-C/D'-H-L E-B-A-C-C/D'-H-K-L E-B-A-C-C/D'-D'-H E-B-A-C-C/D'-D'-H-L E-B-A-C-C/D'-D'-H-K-L E-B-A-C-C/D-C/D'-D E-B-A-C-C/D-C/D'-H E-B-A-C-C/D-C/D'-H-L E-B-A-C-C/D-C/D'-H-K-L E-B-A-C-C/D-C/D'-D'-H E-B-A-C-C/D-C/D'-D'-H-L E-B-A-C-C/D-C/D'-D'-H-K-L

E-B-A-G-C-C/D-D E-B-A-G-C-C/D-H E-B-A-G-C-C/D-H-L E-B-A-G-C-C/D-H-K-L E-B-A-G-C-C/D-D'-H E-B-A-G-C-C/D-D'-H-L E-B-A-G-C-C/D-D'-H-K-L E-B-A-G-C-C/D'-D E-B-A-G-C-C/D'-H E-B-A-G-C-C/D'-H-L E-B-A-G-C-C/D'-H-K-L E-B-A-G-C-C/D'-D'-H E-B-A-G-C-C/D'-D'-H-L E-B-A-G-C-C/D'-D'-H-K-L E-B-A-G-C-C/D-C/D'-D E-B-A-G-C-C/D-C/D'-H E-B-A-G-C-C/D-C/D'-H-L E-B-A-G-C-C/D-C/D'-H-K-L E-B-A-G-C-C/D-C/D'-D'-H E-B-A-G-C-C/D-C/D'-D'-H-L E-B-A-G-C-C/D-C/D'-D'-H-K-L

Ser 1	His	Leu	Val	Lys 5	Cys	Ala	GAG	AAG Lys	GAG Glu 10	AAA Lys	ACT Thr	TTC Phe	TGT Cys	GTG Val 15	AAT Asn	48
GGA Gly	GGC Gly	GAG Glu	TGC Cys 20	TTC Phe	ATG Met	GTG Val	AAA Lys	GAC Asp 25	CTT Leu	TCA Ser	AAT Asn	CCC Pro	TCA Ser 30	AGA Arg	TAC Tyr	96
TTG Leu	TGC Cys	AAG Lys 35	TGC Cys	CCA Pro	AAT Asn	GAG Glu	TTT Phe 40	ACT Thr	GGT Gly	GAT Asp	CGC Arg	TGC Cys 45	CAA Gln	AAC Asn	TAC Tyr	144
GTA Val	ATG Met 50	GCC Ala	AGC Ser	TTC Phe	TAC Tyr	AGT Ser 55	ACG Thr	TCC Ser	ACT Thr	CCC Pro	TTT Phe 60	CTG Leu	TCT Ser	CTG Leu	CCT Pro	192
GAA Glu 65	TAG															198

WO 96/30403 PCT/US96/04240

 				 	 ACT Thr			48
					AAT Asn			96
				 	 AGA Arg			144
 	 		 	 	 GAG Glu 60	 	 TAA	192

Ser 1	His	Leu	Val	Lys 5	TGT Cys	GCA Ala	GAG Glu	AAG Lys	GAG Glu 10	AAA Lys	ACT Thr	TTC Phe	TGT Cys	GTG Val 15	AAT Asn	48
GGA Gly	GGC.	GAG Glu	TGC Cys 20	TTC Phe	ATG Met	GTG Val	AAA Lys	GAC Asp 25	CTT Leu	TCA Ser	AAT Asn	CCC Pro	TCA Ser 30	AGA Arg	TAC Tyr	96
TTG Leu	TGC Cys	AAG Lys 35	TGC Cys	CCA Pro	AAT Asn	GAG Glu	TTT Phe 40	ACT Thr	GGT Gly	GAT Asp	CGC Arg	TGC Cys 45	CAA Gln	AAC Asn	TAC Tyr	144
GTA Val	ATG Met 50	GCC Ala	AGC Ser	TTC Phe	TAC Tyr	AAA Lys 55	GCG Ala	GAG Glu	GAG Glu	CTC Leu	TAC Tyr 60	AAT				183

AGC Ser 1	CAT His	CTT Leu	GTC Val	AAG Lys 5	TGT Cys	GCA Ala	GAG Glu	AAG Lys	GAG Glu 10	AAA Lys	ACT Thr	TTC Phe	TGT Cys	GTG Val 15	AAT Asn	4.8	3
	GGC Gly															96	5
	TGC Cys															144	1
GTA Val	ATG Met 50	GCC Ala	AGC Ser	TTC Phe	TAC Tyr	AAG Lys 55	CAT His	CTT Leu	GGG Gly	ATT Ile	GAA Glu 60	TTT Phe	ATG Met	GAG Glu	AAA Lys	193	2
	GAG Glu				TAA											21	0

Ser 1	His	Leu	Val	Lys 5	Cys	GCA Ala	GAG Glu	AAG Lys	GAG Glu 10	AAA Lys	ACT Thr	TTC Phe	TGT Cys	GTG Val 15	AAT Asn	48
GGA Gly	GGC Gly	GAG Glu	TGC Cys 20	TTC Phe	ATG Met	GTG Val	AAA Lys	GAC Asp 25	CTT Leu	TCA Ser	AAT Asn	CCC Pro	TCA Ser 30	AGA Arg	TAC Tyr	96
TTG Leu	TGC Cys	AAG Lys 35	TGC Cys	CAA Gln	CCT Pro	GGA Gly	TTC Phe 40	ACT Thr	GGA Gly	GCG Ala	AGA Arg	TGT Cys 45	ACT Thr	GAG Glu	AAT Asn	144
GTG Val	CCC Pro 50	ATG Met	AAA Lys	GTC Val	CAA Gln	ACC Thr 55	CAA Gln	GAA Glu	AAG Lys	TGC Cys	CCA Pro 60	AAT Asn	GAG Glu	TTT Phe	ACT Thr	192
GGT Gly 65	GAT Asp	CGC Arg	TGC Cys	CAA Gln	AAC Asn 70	TAC Tyr	GTA Val	ATG Met	GCC Ala	AGC Ser 75	TTC Phe	TAC Tyr	AGT Ser	ACG Thr	TCC Ser 80	240
ACT Thr	CCC Pro	TTT Phe	CTG Leu	TCT Ser 85	CTG Leu	CCT Pro	GAA Glu	TAG								267

AGC Ser 1	CAT His	CTT Leu	GTC Val	AAG Lys 5	TGT Cys	GCA Ala	GAG Glu	AAG Lys	GAG Glu 10	AAA Lys	ACT Thr	TTC Phe	TGT Cys	GTG Val 15	AAT Asn	48
GGA Gly	GGC Gly	GAG Glu	TGC Cys 20	TTC Phe	ATG Met	GTG Val	AAA Lys	GAC Asp 25	CTT Leu	TCA Ser	AAT Asn	CCC Pro	TCA Ser 30	AGA Arg	TAC Tyr	96
TTG Leu	TGC Cys	AAG Lys 35	TGC Cys	CAA Gln	CCT Pro	GGA Gly	TTC Phe 40	ACT Thr	GGA Gly	GCG Ala	AGA Arg	TGT Cys 45	ACT Thr	GAG Glu	AAT Asn	144
GTG Val	CCC Pro 50	ATG Met	AAA Lys	GTC Val	CAA Gln	ACC Thr 55	CAA Gln	GAA Glu	AAG Lys	TGC Cys	CCA Pro 60	AAT Asn	GAG Glu	TTT Phe	ACT Thr	192
GGT Gly 65	GAT Asp	CGC Arg	TGC Cys	CAA Gln	AAC Asn 70	TAC Tyr	GTA Val	ATG Met	GCC Ala	AGC Ser 75	TTC Phe	TAC Tyr	AAA Lys	GCG Ala	GAG Glu 80	240
	CTC Leu		TAA													252

### Figure 24 A

GGAATTCCTT TTTTTTT	ITT TTTTTTTCTT N	NTTTTTTT TGCCCTTATA CCTCTTCGCC	60
TTTCTGTGGT TCCATCC	ACT TCTTCCCCCT CO	CTCCTCCCA TAAACAACTC TCCTACCCCT	120
GCACCCCAA TAAATAA	ATA AAAGGAGGAG GO	SCAAGGGG GAGGAGGAGG AGTGGTGCTG	180
CGAGGGGAAG GAAAAGGG	GAG GCAGCGCGAG A	AGAGCCGGG CAGAGTCCGA ACCGACAGCC	240
AGAAGCCCGC ACGCACC	PCG CACC ATG AGA Met Arg 1	TGG CGA CGC GCC CCG CGC CGC Trp Arg Arg Ala Pro Arg Arg 5	291
TCC GGG CGT CCC GGC Ser Gly Arg Pro Gly 10	C CCC CGG GCC CAG Pro Arg Ala Glr 15	G CGC CCC GGC TCC GCC GCC CGC A Arg Pro Gly Ser Ala Ala Arg 20 25	339
TCG TCG CCG CTG Ser Ser Pro Pro Leu	G CCG CTG CTG CCA	A CTA CTG CTG CTG CTG GGG ACC  Leu Leu Leu Leu Gly Thr  Val Cys Leu Leu Thr Val	387
30	)	GGF II 09 35 40	
Ala Ala Leu Pro Pro	GIV AIG AIG AIA	GGC AAC GAG GCG GCT CCC GCG Gly Asn Glu Ala Ala Pro Ala	435
45	50	JJ	
GGG GCC TCG GTG TGC Gly Ala Ser Val Cys	Tyr Ser Ser Pro	CCC AGC GTG GGA TCG GTG CAG Pro Ser Val Gly Ser Val Gln Val Ser Val Gly Ser Val Gln	483
60	65	GGF II 08 70	
GAG CTA GCT CAG CGC Glu Leu Ala Gln Arg Glu Leu Val Gln Arg	Trp Phe Val V	TG ATC GAG GGA AAG GTG CAC CCG al Ile Glu Gly Lys Val His Pro al Ile Glu Gly Lys II 04	531
75	80	85	

### Figure 24 B

GGC GAG GCA GGG GGC TGG GGC GGC GAT CGC GAG CCG CCA GCC GGC ALC ALC ALC ALC ALC GGG GAG GCC GGC GGC GGC GGC GGC GGC GG													GCG Ala				579
Pro Arg Ala Leu Gly Pro Pro Ala Glu Glu Pro Leu Leu Ala Ala Ass 135  GGG ACC GTG CCC TCT TGG CCC ACC GCC CCG GTG CCC AGC GGC GAG GAG Gly Thr Val Pro Ser Trp Pro Thr Ala Pro Val Pro Ser Ala Gly Glu 150  CCC GGG GAG GAG GAG GCC CCC TAT CTG GTG AAG GTG CAC CAG GTG TGG GCG GAG GAG GIG GIG GIG GIG GIG GIG GIG GI					Ala					Arg					Ala		627
Gly Thr Val Pro Ser Trp Pro Thr 145				Leu					Glu					Ala			675
Pro Gly Glu Glu Ala Pro Tyr Leu Val Lys Val His Gln Val Trp Ala Lys Val His Glu Val Trp Ala GGF II 01 & GGF II 11  155 160 TCG CTG CTC ACC GTG CGC CTG  Wal Lys Ala Gly Gly Leu Lys Lys Asp Ser Leu Leu Thr Val Arg Leu Ala Lys Ala Gly Gly Leu Lys Lys Asp Ser Leu Leu Thr Val Arg Leu Ala Lys Asp Leu Leu Kaa Val Leu GGF II 10  175 TGC GGG AGG CTC AAG GAG GGG ACC TGG GGC CAC CCC GCC TTC CCC TCC TGC GGG AGG CTC AAG GAG Gly Thr Trp Gly His Pro Ala Phe Pro Ser Cys Gly Arg Leu Lys Glu GGF II 03 190 T95 200  GAC AGC AGG TAC ATC TTC TTC ATG GAG CCC GAC GCC AAC AGC ACC AGC Asp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Asn Ser Thr Ser Tyr Ile Phe Phe Met Glu Pro Asp Ala Xaa Ser Ser Gly GGF II 02			Val					Thr					Ser				723
GTG AAA GCC GGG GGC TTG AAG AAG GAC TCG CTG CTC ACC GTG CGC CTG S19 Val Lys Ala Gly Gly Leu Lys Lys Asp Ser Leu Leu Thr Val Arg Leu Leu GGF II 10  170 175 TTC CCC TCC TGC GGG AGG CTC AAG GAG GAG GAG GAG GAG GAG GAG GAG GA										Lys	Val Val	His His	Gln Glu	Val Val	Trp Trp	Ala Ala	771
Val Lys Ala Gly Gly Leu Lys Lys Asp Ser Leu Leu Thr Val Arg Leu Leu Ala Lys  170 175 185  GGG ACC TGG GGC CAC CCC GCC TTC CCC TCC TGC GGG AGG CTC AAG GAG Gly Thr Trp Gly His Pro Ala Phe Pro Ser Cys Gly Arg Leu Lys Glu Gly Ala Trp Gly Pro Ala Phe Pro Val Xaa Tyr GGF II 03 190 195 200  GAC AGC AGG TAC ATC TTC TTC ATG GAG CCC GAC GCC AAC AGC AGC AGC ASp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Xaa Ser Ser Gly GGF II 02 GGF II 02		155					160						01.				•
GGG ACC TGG GGC CAC CCC GCC TTC CCC TCC TGC GGG AGG CTC AAG GAG Gly Thr Trp Gly His Pro Ala Phe Pro Ser Cys Gly Arg Leu Lys Glu Gly Ala Trp Gly Pro Pro Ala Phe Pro Val Xaa Tyr GGF II 03 190 195 200  GAC AGC AGG TAC ATC TTC TTC ATG GAG CCC GAC GCC AAC AGC ACC AGC ASp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Asn Ser Thr Ser Tyr Ile Phe Phe Met Glu Pro Asp Ala Xaa Ser Ser Gly GGF II 02	Val	Lys							Asp	Ser	Leu Leu	Leu Leu	Thr Xaa	Val		Leu	819
Gly Thr Trp Gly His Pro Ala Phe Pro Ser Cys Gly Arg Leu Lys Glu Gly Ala Trp Gly Pro Pro Ala Phe Pro Val Xaa Tyr GGF II 03 190 195 200  GAC AGC AGG TAC ATC TTC TTC ATG GAG CCC GAC GCC AAC AGC ACC AGC Asp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Asn Ser Thr Ser Tyr Ile Phe Phe Met Glu Pro Asp Ala Xaa Ser Ser Gly GGF II 02	170					175										185	
GAC AGC AGG TAC ATC TTC TTC ATG GAG CCC GAC GCC AAC AGC ACC AGC Asp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Asn Ser Thr Ser Tyr Ile Phe Phe Met Glu Pro Asp Ala Xaa Ser Ser Gly GGF II 02	Gly	Thr	Trp	Gly Gly	His Pro	Pro Pro	Ala	Phe	Pro	Ser	Cys	Gly	Arg				867
Asp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Asn Ser Thr Ser  Tyr Ile Phe Phe Met Glu Pro Asp Ala Xaa Ser Ser Gly  GGF II 02										195					200		
				Tyr	Ile	Phe	Phe	Met	Glu Glu	Pro Pro	Asp Asp	Ala Ala	Asn	Ser	Thr	Ser	915
				205						GGF	<b>1</b> 1 0	4		215			

### Figure 24 C

CGC Arg	GCG Ala	CCG Pro 220	Ala	GCC Ala	TTC Phe	CGA Arg	GCC Ala 225	TCT Ser	TTC Phe	CCC Pro	CCT Pro	CTG Leu 230	GAG Glu	ACG Thr	GGC Gly	963
CGG Arg	AAC Asn 235	ren	AAG Lys	AAG Lys	GAG Glu	GTC Val 240	AGC Ser	CGG Arg	GTG Val	CTG Leu	TGC Cys 245	AAG Lys	CGG Arg	TGC Cys	GCC Ala	1011
TTG Leu 250	CCT	CCC Pro	CAA Gln	TTG Leu	AAA Lys 255	GAG Glu	ATG Met	AAA Lys	AGC Ser	CAG Gln 260	GAA Glu	TCG Ser	GCT Ala	GCA Ala	GGT Gly 265	1059
TCC Ser	AAA Lys	ьeu	Val Val	CTT Leu Leu II (	Arg Arg	TGT Cys	GAA Glu	ACC Thr	AGT Ser	TCT Ser	GAA Glu	TAC Tyr	TCC Ser	TCT Ser	CTC Leu	1107
				270					175					180		
AGA Arg	TTC Phe	AAG Lys	TGG Trp 185	TTC Phe	AAG Lys	AAT Asn	GGG Gly	AAT Asn 190	GAA Glu	TTG Leu	AAT Asn	CGA Arg	AAA Lys 195	AAC Asn	AAA Lys	1155
CCA Pro	CAA Gln	AAT Asn 200	ATC Ile	AAG Lys	ATA Ile	CAA Gln	AAA Lys 205	AAG Lys	CCA Pro	GGG Gly	AAG Lys	TCA Ser 210	GAA Glu	CTT Leu	CGC Arg	1203
ATT Ile	AAC Asn	ьуs	Ala	ser	Leu	Ala	Asp Asp	Ser	Gly Gly	GAG Glu Glu	Tvr	Met	Cvs	Tare	GTG Val	1251
	215					220					225					
ATC Ile 230	AGC Ser	AAA Lys	TTA Leu	GGA Gly	AAT Asn 235	GAC Asp	AGT Ser	GCC Ala	TCT Ser	GCC Ala 240	AAT Asn	ATC Ile	ACC Thr	ATC Ile	GTG Val 245	1299
GAA Glu	TCA Ser	AAC Asn	Ala	ACA Thr 250	TCT Ser	ACA Thr	TCC Ser	ACC Thr	ACT Thr 255	GGG Gly	ACA Thr	AGC Ser	CAT His	CTT Leu 260	GTA Val	1347

WO 96/30403 PCT/US96/04240

### Figure 24 D

			GAG Glu 265														1332
															TGC Cys		1443
															AGC Ser	•	1491
			ACG Thr														1530
TAG	GAGC	ATG (	CTCAC	GTTG	GT G	CTGC:	TTTCT	r TG	rtgc:	rgca	TCT	cccc'	TCA	GATTY	CCACC	r	1590
AGA	CTAC	AT (	GTGT	CTTAC	CC A	GATC'	TAAT	YTT	GACT	GCCT	CTG	CCTG	TCG	CATG	AGAAC	A	1650
TTA	ACAA	AAG (	CAAT'	rgta'	A TI	CTTC	CTCT	TTY	CGCG	ACTA	GTT	GGCT	CTG	AGAT.	ACTAA'	T	1710
AGG'	rgrg:	rga (	GGCT	CCGG	AT G	TTTC'	IGGAZ	TT A	GATA'	TTGA	ATG	ATGT	GAT	ACAA	ATTGA'	Т	1770
AGT	CAAT	ATC I	AAGC	AGTG	AA A	TATG	ATAA	r aa	AGGC	ATTT	CAA	AGTC	TCA	CTTT	TATTG	A	1830
TAA	ATA	AAA	ATCA!	PTCT	AC T	GAAC	AGTC	CAT	CTTC'	TTTA	TAC	AATG	ACC	ACAT	CCTGA	A	1890
AAG	GGTG	rtg (	CTAA	GCTG'	TA A	CCGA'	TATG	CAC	TTGA	AATG	ATG	GTAA	GTT	AATT	TTGAT	T	1950
CAC	א א חורייו	ייייטים	יידות אינו	יישבאד	מ ממ	מידימ	ימיממ	מג יד	מממידי	ACCA	222	444	222	444			2003

MRMRRAPRRSGRPGPRAQRPGSAARSSPPLPLLLLLLGTAALAPGAAAGNEAAPAGAS	AWGGDREP	GPRALGPPAEEPLLAANGTVPSWPTAPVPSAGEPGEEAPYLVKVHQVWAVKAGGLKKDSL II-3	LTVRLGTWGHPAFPSCGRLKEDSRYIFFMEPDANSTSRAPAAFRASFPPLETGRNLKKEV  2	SRVLCKRČALPPQLKEMKSQESAAGSK O OMSERKEGRGKGKKKERGSGKKPESAAGSQSPRRV	II-6 II-18 III-18 III-13 III-13 III-13 III-13 III-13 III-13 III-13 IIII-13 IIII-13 IIII-13 IIII-13 IIIIIIIIII	4 II-12 5 5 F S F VISKLGNDSASANITIVESNATSTEGAYVSSESPIRISVSTEGANTSSS	T T 6  II-15  TTGTSHLVKÇAEKEKTFÇVNGGEÇFMVKDLSNPSRYLÇKÇPNEFTGDRÇQNYVMASFYST	A	STPFLSLPE*
<b>H</b>	61	121	181	241 1	2 5 5 3 3 3 3 3	328 113 113	354 173	173	413 232 232
GGFHBS5				GGFHBS5 GGFHFB1 GGFBPP5					

Met Arg Trp Arg Arg Ala Pro Arg Arg Ser Gly Arg Pro Gly Pro Arg Ala Gln Arg Pro Gly Ser Ala Ala Arg Ser Ser Pro Pro Leu Pro Leu 20 25 30 Leu Pro Leu Leu Leu Leu Gly Thr Ala Ala Leu Ala Pro Gly Ala Ala Ala Gly Asn Glu Ala Ala Pro Ala Gly Ala Ser Val Cys Tyr Ser Ser Pro Pro Ser Val Gly Ser Val Gln Glu Leu Ala Gln Arg Ala Ala 65 70 75 80 Val Val Ile Glu Gly Lys Val His Pro Gln Arg Arg Gln Gln Gly Ala 85 90 95 Leu Asp Arg Lys Ala Ala Ala Ala Gly Glu Ala Gly Ala Trp Gly Gly Asp Arg Glu Pro Pro Ala Ala Gly Pro Arg Ala Leu Gly Pro Pro Ala Glu Glu Pro Leu Leu Ala Ala Asn Gly Thr Val Pro Ser Trp Pro Thr Ala Pro Val Pro Ser Ala Gly Glu Pro Gly Glu Glu Ala Pro Tyr 150 Leu Val Lys Val His Gln Val Trp Ala Val Lys Ala Gly Gly Leu Lys Lys Asp Ser Leu Leu Thr Val Arg Leu Gly Thr Trp Gly His Pro Ala Phe Pro Ser Cys Gly Arg Leu Lys Glu Asp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Asn Ser Thr Ser Arg Ala Pro Ala Ala Phe Arg Ala Ser Phe Pro Pro Leu Glu Thr Gly Arg Asn Leu Lys Lys Glu Val Ser Arg Val Leu Cys Lys Arg Cys Ala Leu Pro Pro Gln Leu Lys Glu Met Lys Ser Gln Glu Ser Ala Ala Gly Ser Lys Leu Val Leu Arg Cys Glu Thr Ser Ser Glu Tyr Ser Ser Leu Arg Phe Lys Trp Phe Lys Asn Gly Asn Glu Leu Asn Arg Lys Asn Lys Pro Gln Asn Ile Lys Ile Gln 295 Lys Lys Pro Gly Lys Ser Glu Leu Arg Ile Asn Lys Ala Ser Leu Ala 315 Asp Ser Gly Glu Tyr Met Cys Lys Val Ile Ser Lys Leu Gly Asn Asp

# Figure 26 (cont.)

Ser Ala Ser Ala Asn Ile Thr Ile Val Glu Ser Asn Ala Thr Ser Thr 340 345 350

Ser Thr Thr Gly Thr Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys 355

Thr Phe Cys Val Asn Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser 370 380

Asn Pro Ser Arg Tyr Leu Cys Lys Cys Pro Asn Glu Phe Thr Gly Asp 385 390 395 400

Arg Cys Gln Asn Tyr Val Met Ala Ser Phe Tyr Ser Thr Ser Thr Pro 405 415

Phe Leu Ser Leu Pro Glu \* 420

TCT						e Il			A AAT a Asn 15	53
				TCT Ser						101
				TCT Ser 40						149
				GCC Ala						197
				CTG Leu						245
				CAC His	 					293
				AAT Asn						341
	 			GTA Val 120					GAA Glu	389
	 			ATT Ile	 T					417

International application No. PCT/US96/04240

	A COLDICA MILANIA OD ANIMA DA CANA		
A. CL IPC(6)	ASSIFICATION OF SUBJECT MATTER		
	:C07K 14/00; C07H 21/00; A61K 38/00, 48/00 :530/350, 23.1; 524/2, 44		
According	to International Patent Classification (IPC) or to be	oth national classification and IPC	
	LDS SEARCHED	the interest of the same and the	
	documentation searched (classification system follow		
		wed by classification symbols)	
U.S. :	530/350, 23.1; 524/2, 44		
D			
Document	ation searched other than minimum documentation to	the extent that such documents are included	d in the fields searched
Pilo atau alia			
Electronic	data base consulted during the international search	(name of data base and, where practicable	, search terms used)
APS, MI	EDLINE, EMBASE, BIOSIS, CAPLUS		
C. DOC	THAT NEW CANCELLIANDS OF THE		
	CUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where	appropriate, of the relevant passages	Relevant to claim No
Y, P	BERMINGHAM-McDONOGH	et al. Effects of	4.70
	GGF/neuregulins on neuronal sur-		1-72
	correlate with erbB2/neu express	ion in developing set seting	
	Development. 1996, Vol.122, pa	ones 1427-1438 con entire	
	document.	1965 1427-1436, See entire	
,	CARRAWAY et al. Neuroquilos o	nd their reserves.	
1	CARRAWAY et al. Neuregulins a	the their receptors. Current	1-72
Į	Opinion in Neurobiology. 1995, entire document.	vol.5, pages 606-612, see	
Ì	entire document.		
′. P	LEE of al. Deputation of		
' '	LEE et al. Requirement for neu	regulin receptor erbB2 in	1-72
1	neural and cardiac development	. Nature. 23 November	
	1995, Vol.378, pages 394-398,	see entire document.	
j			
<del></del> -L			
X Furthe	er documents are listed in the continuation of Box (	C. See patent family annex.	
-	cial categories of cited documents:	"I" inter document published after the inter	mational filing date or priority
does to b	ament defining the general state of the art which is not considered e of particular relevance	date and not in conflict with the applica principle or theory underlying the inve	tion but cited to understand the nation
• carti	ier document published on or after the international filing date	"X" document of particular relevance; the	claimed invention cannot be
.* docu	unent which may throw doubts on priority claim(s) or which is	considered novel or cannot be consider when the document is taken alone	ed to involve an inventive step
Ciled	to establish the publication date of another citation or other ial reason (as specified)	"Y" document of particular relevance; the	chined invention areas to
• docu	ment referring to an oral disclosure, use, exhibition or other	considered to involve an inventive combined with one or more other such	aten velom also danimina ti
inca	ns unent published prior to the international filing date but later than	ocing obvious to a person skilled in the	ent
the p	priority date claused	*&* document member of the same patent i	-
ແຂວນເທຣສ	ctual completion of the international search	Date of mailing of the international sear	rch report
24 JUNE 1	996	1 2 JUL 1996	9
	ailing address of the ISA/US	Authorized officer	TO LIAN A
Commissione Box PCT	er of Patents and Trademarks	10/000/100	1 11 my /
Washington,	D.C. 20231	D. CURTIS HOGUE, JR.	$\iota$
esimile No		Telephone No. (703) 308-0196	
m PCT/IS/	A/210 (second sheet)(July 1992)*		

International application No. PCT/US96/04240

C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	<u> </u>
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y, P	BERMINGHAM-McDONOGH et al. Neuregulin promotes rat retinal cell survival in vivo. Society for Neuroscience Abstracts. November 1995, Vol.21, Nos. 1-3, page 547, abstact no. 226.18, see entire document.	1-72
Y, P	LEVINE et al. Transfection of dissociated embryonic and postnatal rat retinal cells in culture by particle-mediated gene transfer. Society for Neuroscience Abstracts. November 1995, Vol. 21, Nos. 1-3, page 1767, abstract no. 697.3, see entire document.	1-72
•		
		,
	·	
	·	
	·	
	·	

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*

International application No. PCT/US96/04240

A. CLASSIFICATION OF SUBJECT MATTER  IPC(6) :C07K 14/00; C07H 21/00; A61K 38/00, 48/00  US CL :530/350, 23.1; 524/2, 44  According to International Patent Classification (IPC) or to both national classification and IPC							
B. FIELDS SEARCHED							
Minimum documentation searched (classification system followed by classification symbols)							
U.S. : 530/350, 23.1; 524/2, 44							
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched							
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  APS, MEDLINE, EMBASE, BIOSIS, CAPLUS							
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT						
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages Relevant to claim No.					
Υ, Ρ	BERMINGHAM-McDONOGH GGF/neuregulins on neuronal surv correlate with erbB2/neu expression Development. 1996, Vol.122, page document.	on in developing rat retina.					
Y	CARRAWAY et al. Neuregulins and their receptors. Current Opinion in Neurobiology. 1995, Vol.5, pages 606-612, see entire document.						
Y, P	LEE et al. Requirement for neuregulin receptor erbB2 in neural and cardiac development. Nature. 23 November 1995, Vol.378, pages 394-398, see entire document.						
X Further documents are listed in the continuation of Box C. See patent family annex.							
Special entegories of cited documents:  A* document defining the general state of the art which is not considered to be of particular relevance.		"T" later document published after the international filing data or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention					
	tier document published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve as inventive step					
Cito	nument which may throw doubts on priority claim(s) or which is at to establish the publication date of another citation or other	"Y" document of particular relevance; the claimed invention cannot be					
special reason (as specified)  *O*  document referring to an oral disclosure, use, exhibition or other means		considered to involve an inventive stop when the document is combined with one or more other such document, such combination being obvious to a person skilled in the art					
	nument published prior to the international filing date but later than priority date claimed	'&' document member of the same patent family					
Date of the actual completion of the international search . Date of mailing of the international search report							
24 JUNE	1996	1 2 JUL 1996					
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT		D. CURTIS HOGUE, JR.					
Washington, D.C. 20231  Fracinila No. (202) 305-2230		D. CORTIS HOGOE, JR.					

Form PCT/ISA/210 (second sheet)(July 1992)\*

International application No. PCT/US96/04240

	<b>!</b>		
C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant	ant passages	Relevant to claim No.
/, P	BERMINGHAM-McDONOGH et al. Neuregulin promotes rat retinal cell survival in vivo. Society for Neuroscience Abstracts. November 1995, Vol.21, Nos. 1-3, page 547, abstact no. 226.18, see entire document.		1-72
', P	LEVINE et al. Transfection of dissociated embryonic postnatal rat retinal cells in culture by particle-mediated transfer. Society for Neuroscience Abstracts. Novembr Vol. 21, Nos. 1-3, page 1767, abstract no. 697.3, see document.	er 1995,	1-72

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*